

# REGULARITY FOR THE BOLTZMANN EQUATION CONDITIONAL TO PRESSURE AND MOMENT BOUNDS

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ABSTRACT. We prove that solutions to the Boltzmann equation without cut-off satisfying pointwise bounds on some observables (mass, pressure, and suitable moments) enjoy a uniform bound in  $L^\infty$  in the case of hard potentials. As a consequence, we derive  $C^\infty$  estimates and decay estimates for all derivatives, conditional to these macroscopic bounds. Our  $L^\infty$  estimates are uniform in the limit  $s \nearrow 1$  and hence we recover the same results also for the Landau equation.

## 1. INTRODUCTION

**1.1. The Boltzmann equation.** The Boltzmann equation is one of the fundamental equations of statistical mechanics. It models the evolution of a gas (or any system made up of a large number of particles), and it was derived by Boltzmann and Maxwell in the 19th century.

The unknown in Boltzmann's equation is a time-dependent probability density  $f(t, x, v)$  which keeps track of the “number” of particles that at time  $t$  and point  $x$  have velocity  $v$ ,

$$\partial_t f + v \cdot \nabla_x f = Q(f, f) \quad \text{in } (0, \infty) \times \mathbb{R}^n \times \mathbb{R}^n, \quad (1.1)$$

where  $Q(f, f)$  is the so-called Boltzmann collision operator, and  $n \geq 2$ .

The Boltzmann collision operator acts only on the velocity variable  $v$ , and is of the form

$$Q(f, g)(v) = \int_{\mathbb{R}^n \times \mathbb{S}^{n-1}} (f(v'_*)g(v') - f(v_*)g(v))B(|v_* - v|, \cos \theta) d\sigma dv_*,$$

where  $\cos \theta = \frac{v-v_*}{|v-v_*|} \cdot \sigma$ ,  $B$  is the so-called collision kernel, and  $v'$  and  $v'_*$  are the post-collisional velocities given (under elastic collisions) by

$$v' = \frac{v + v_*}{2} + \frac{|v - v_*|}{2}\sigma, \quad v'_* = \frac{v + v_*}{2} - \frac{|v - v_*|}{2}\sigma. \quad (1.2)$$

The exact form of the collision kernel  $B$  depends on the microscopic interaction that we assume between the particles: they interact with each other via a (repulsive) potential  $\phi$ , most typically with an inverse-power law  $\phi(r) = 1/r^p$ , with  $p > 1$ . Under these assumptions, we have

$$B(r, \cos \theta) = r^\gamma b(\cos \theta), \quad b(\cos \theta) \asymp |\sin(\theta/2)|^{-(n-1)-2s}, \quad (1.3)$$

for some  $s \in (0, 1)$  and  $\gamma > -n$  (see (1.23) as well). In the most physically relevant case,  $n = 3$  and inverse-power law potentials, we actually have  $s = \frac{1}{p}$  and  $\gamma = 1 - \frac{4}{p}$ . Still, for the sake of generality, the Boltzmann equation is typically studied for general independent parameters  $s \in (0, 1)$  and  $\gamma > -n$ .

An important distinction arises often related to the “strength” of the repulsive potential  $\phi$ : when  $\gamma > 0$  we talk about *hard potentials*, while the case  $\gamma \leq 0$  is called *soft potentials*.

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The limiting case  $p \rightarrow \infty$  corresponds to hard spheres (in which the collision kernel is not singular anymore, since  $s \rightarrow 0$ ), while the case  $p \rightarrow 1$  corresponds to the Coulomb interaction (in which the Boltzmann equation becomes the Landau equation, and  $s \rightarrow 1$ ).

An important feature of the Boltzmann equation is that it keeps track of macroscopic information (“observables”), but also microscopic variables, which describe the state of the particles at a given time. All macroscopic observables can be expressed in terms of microscopic averages, i.e., integrals of the form  $\int f(t, x, v)\varphi(v) dv$ . In particular, at any time  $t$  and any given point  $x$ , we have the following observables

$$\rho(t, x) = \int_{\mathbb{R}^n} f(t, x, v) dv \quad (\text{mass density}) \quad (1.4)$$

$$\bar{v}(t, x) = \frac{1}{\rho} \int_{\mathbb{R}^n} f(t, x, v)v dv \quad (\text{mean velocity}) \quad (1.5)$$

$$\mathbb{P}(t, x) = \int_{\mathbb{R}^n} f(t, x, v) (v - \bar{v}) \otimes (v - \bar{v}) dv \quad (\text{pressure tensor}) \quad (1.6)$$

$$T(t, x) = \frac{1}{n\rho} \text{tr } \mathbb{P} = \frac{1}{n\rho} \int_{\mathbb{R}^n} f(t, x, v)|v - \bar{v}|^2 dv \quad (\text{temperature}) \quad (1.7)$$

$$E(t, x) = \frac{1}{2}\rho|\bar{v}|^2 + \frac{n}{2}\rho T = \frac{1}{2} \int_{\mathbb{R}^n} f(t, x, v)|v|^2 dv \quad (\text{energy density}); \quad (1.8)$$

see, e.g. the survey [Vil02] for more details.

Of course, the equation can also be posed in a bounded domain  $\Omega \subset \mathbb{R}^n$  with appropriate boundary conditions (see, e.g., [OuSi23]), however in this paper we focus for simplicity on the case  $\Omega = \mathbb{R}^n$ .

**1.2. Regularity for the Boltzmann equation.** One of the most important and famous mathematical results for the Boltzmann equation is the convergence to equilibrium for smooth solutions, established by Desvillettes and Villani in [DeVi05]. The result may be informally summarized as follows:

*Let  $f$  be any solution to the Boltzmann equation, with appropriate decay for large velocities, such that  $f$  stays in  $C^\infty$  in all variables, uniformly for all  $t > 0$ .*

*Then, it converges to equilibrium as  $t \rightarrow \infty$  faster than any algebraic rate  $O(t^{-k})$ ,  $k \in \mathbb{N}$ .*

This is one of the main two results for which Villani received the Fields Medal in 2010—see [DeVi05, Theorem 2] for a precise statement.

Their result hence reduces the problem of convergence to equilibrium to the problem of establishing a priori bounds on moments and  $C^k$  norms, uniformly in time. Furthermore, they conjectured that one should be able to establish these bounds, *conditionally to global in time a priori estimates on the hydrodynamic fields  $\rho$ ,  $\bar{v}$ , and  $T$ .*

This was essentially the program carried out by Imbert and Silvestre (and Mouhot) in the last years [ImSi22, Sil16, IMS20, ImSi20b, ImSi21] (see also the survey [ImSi20a]), who established the uniform  $C^\infty$  regularity and decay for (periodic in  $x$ ) solutions to the Boltzmann equation (1.1), under the assumption that the mass density  $\rho$  and energy  $E$  satisfy

$$0 < m_0 \leq \rho(t, x) := \int_{\mathbb{R}^n} f(t, x, v) dv \leq M_0, \quad (1.9)$$

$$E(t, x) := \frac{1}{2} \int_{\mathbb{R}^n} f(t, x, v)|v|^2 dv \leq E_0, \quad (1.10)$$

and also that the *entropy density* is controlled

$$h(t, x) := \int_{\mathbb{R}^n} f \log f(t, x, v) \, dv \leq H_0 \quad (\text{entropy density}). \quad (1.11)$$

Their main result, which holds for  $\gamma + 2s \in [0, 2]$ , can be informally summarized as follows:

*Let  $f$  be any solution to the Boltzmann equation satisfying (1.9)-(1.10)-(1.11) uniformly in  $t, x$ . Then,  $f$  stays in  $C^\infty$  in all variables (with fast decay as  $v \rightarrow \infty$ ), uniformly for all  $t > 0$ .*

Their results apply to strong solutions to the Boltzmann equation:

**Definition 1.1.** A function  $f : (0, T) \times \mathbb{R}^n \times \mathbb{R}^n \rightarrow \mathbb{R}$  is said to be a solution to the Boltzmann equation (1.1) if  $0 \leq f \in C^\infty((0, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  satisfies (1.1) in the pointwise sense for all  $(t, x, v) \in (0, T) \times \mathbb{R}^n \times \mathbb{R}^n$ . Moreover, we assume that  $f$  is periodic in  $x$ , that for any  $q > 0$  we have

$$\lim_{|v| \rightarrow \infty} \frac{f(t, x, v)}{|v|^q} = 0$$

locally uniformly in  $(t, x)$ , and in addition that for every  $(t, x)$  it holds  $\int_{\mathbb{R}^n} |D_v^2 f| (1 + |v|)^{\gamma+2s} \, dv < \infty$ .

We will use the same notion of solution in this paper.

**1.3. Our results.** Notice that the entropy assumption (1.11) is a higher integrability property for  $f$ , and thus it is not a bound on a macroscopic observable of the form  $\int f(t, x, v) \varphi(v) \, dv$ . The entropy density is a natural hydrodynamic quantity, but not an observable in the usual sense (linear in  $f$ ).

Notice also that the entropy assumption (together with (1.9)) is significantly stronger than a control from below on the temperature  $T$  in (1.7). Indeed, the higher integrability assumption (1.11) on the entropy density implies in particular that  $f$  is absolutely continuous and cannot have too much mass on any set of small measure, while a bound from below on the temperature  $T(t, x)$  only says that not all particles at  $(t, x)$  have the same velocity, i.e., any  $f(t, x, \cdot)$  different from a Dirac's delta has positive temperature.

This means that the assumptions in Imbert–Silvestre [ImSi22] are still stronger than the ones proposed in Desvillettes–Villani [DeVi05]. This gives rise to the following open problem (explicitly mentioned in [ImSi22]):

*Does the regularity program of Imbert–Silvestre remain valid if the entropy upper bound (1.11) is replaced by weaker macroscopic bounds?*

This is the question we study in this paper.

Our main results allow to replace the upper bound on the entropy by a lower bound on the pressure

$$\mathbb{P}(t, x) \geq p_0 \text{Id}_n > 0,$$

or, equivalently,

$$\inf_{e \in \mathbb{S}^{n-1}} |e \cdot \mathbb{P}e| = \inf_{e \in \mathbb{S}^{n-1}} \int_{\mathbb{R}^n} f(t, x, v) |(v - \bar{v}) \cdot e|^2 \, dv \geq p_0 > 0. \quad (1.12)$$

Notice that this condition allows very singular distributions  $f$  at any given  $(t, x)$ , and the only requirement is that we have “*positive temperature in all directions  $e$* ”. In other words, the condition is only violated at  $(t, x)$  when  $f$  is concentrated on a hyperplane.

Actually, for our main results, it suffices to assume the weaker condition that at least two different eigenvalues of  $(\mathbb{P}_{ij})_{ij}$  are positive, namely, that

$$\inf_{\sigma \in \mathbb{S}^{n-1}} \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{\mathbb{R}^n} f(t, x, v) |(v - \bar{v}) \cdot e|^2 dv \geq p_0 > 0. \quad (1.13)$$

This is equivalent to saying that we have “positive temperature in at least two different directions”, i.e., that  $f(t, x, \cdot)$  is not concentrated on a line.

In addition to this, we also need to assume that the  $q$ -th moment is finite for some  $q > 2$ , i.e.,

$$\int_{\mathbb{R}^n} f(t, x, v) |v|^q dv \leq M_q. \quad (1.14)$$

Note that the bounds on mass (1.9), energy (1.10), and entropy (1.11), imply (1.14) for *all*  $q > 2$ ; see [IMS20, Theorem 1.3(ii)].

Notice that both conditions (1.13) and (1.14) are given in terms of macroscopic observables of the form  $\int f(t, x, v) \varphi(v) dv$ .

Moreover, as explained below, we will show that replacing the lower bound on the pressure  $\mathbb{P}(t, x)$  (equivalent to a lower bound on “directional temperatures”) by a lower bound on the temperature  $T(t, x)$  would require completely new ideas. Our hypotheses are, in some sense, the minimal ones under which the diffusion in Boltzmann’s equation is still  $n$ -dimensional.

Our main result applies to the case of hard potentials  $\gamma > 0$ , and reads as follows:

**Theorem 1.2.** *Let  $s \in (0, 1)$ ,  $\gamma > 0$ ,  $q > n$ , and  $\gamma + 2s \leq q$ . Let  $f$  be a solution to the Boltzmann equation in  $(0, T) \times \mathbb{R}^n \times \mathbb{R}^n$  with  $n \geq 2$  (see Definition 1.1). Assume that  $f$  satisfies (1.9), (1.13), and (1.14) with  $q > n$ .*

*Then, for any multi-index  $k \in \mathbb{N}^{1+2n}$ , and any  $\tau > 0$  and  $p \geq 0$ , it holds*

$$\| |v|^p D^k f \|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C_{k,p},$$

*where  $C_{k,p}$  depends only on  $n, s, \gamma, m_0, M_0, p_0, M_q, q, p, \tau, k$ .*

Notice that, in order to prove this result, the key point is to establish the case  $k = 0, p = 0$ , that is, an  $L^\infty$  bound for  $f$ . Indeed, once this case is established then the entropy bound (1.11) automatically holds, and we can apply the results of Imbert–Silvestre [ImSi22].

$L^\infty$  bounds were established in Imbert–Mouhot–Silvestre [IMS20], under the entropy assumption (1.11), together with (1.9) and (1.10). However, the proof in [IMS20] does *not* work when one replaces the entropy bound by the pressure and moment bounds in this paper.

Our main contribution is to establish such  $L^\infty$  bounds with a completely different method, allowing us to replace the entropy assumption by a lower bound on the pressure and some moment bounds.

**Theorem 1.3.** *Let  $s \in (0, 1)$ ,  $\gamma \geq 0$ ,  $q > n$ , and  $\gamma + 2s \leq q$ . Let  $f$  be a solution to the Boltzmann equation in  $(0, T) \times \mathbb{R}^n \times \mathbb{R}^n$  with  $n \geq 2$  (see Definition 1.1). Assume that  $f$  satisfies (1.9), (1.13), and (1.14) with  $q > n$ .*

*Then, for any  $\tau > 0$  we have*

$$\| f \|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C,$$

*$C$  depending only on  $n, s, m_0, M_0, p_0, M_q, q$ , and  $\tau$ .*

*In particular, the entropy bound (1.11) holds for some  $H_0$  depending only on  $n, s, m_0, M_0, p_0, M_q, q$ , and  $\tau$ .*

Notice also that the  $L^\infty$  bound for positive times in Theorem 1.3 holds for  $\gamma = 0$  as well (Maxwellian molecules). However, in order to deduce Theorem 1.2 we need to use the results in [IMS20], where in case  $\gamma = 0$  the decay for large velocities is inherited from the initial condition (while for  $\gamma > 0$  it is an inherent regularization for all positive times, independent of the initial condition). This is the only reason why in Theorem 1.2 we need to assume  $\gamma > 0$ .

**1.4. Strategy of the proof.** The Boltzmann collision operator can be written via Carleman coordinates as follows

$$Q(f, g) = \mathcal{L}_{K_f} g + g(f * c_b |\cdot|^\gamma), \quad (1.15)$$

where  $c_b > 0$  is a constant, depending only on the Boltzmann collision kernel  $B$ , and  $\mathcal{L}_{K_f}$  is an integro-differential operator of the form

$$\mathcal{L}_{K_f} g(v) = \int_{\mathbb{R}^n} (g(v+h) - g(v)) K_f(v, v+h) dh.$$

The kernel  $K_f : \mathbb{R}^n \times \mathbb{R}^n \rightarrow [0, \infty]$  depends on the function  $f$  as follows:

$$K_f(v, v') = \frac{2^{n-1}}{|v-v'|} \int_{w \perp v'-v} f(v+w) B(r, \cos \theta) r^{-n+2} dw \quad (1.16)$$

with

$$r^2 = |v-v'|^2 + |w|^2, \quad \cos \theta = \frac{w - (v-v')}{|w - (v-v')|} \cdot \frac{w - (v'-v)}{|w - (v'-v)|}, \quad (1.17)$$

and satisfies the following pointwise upper and lower bound (see [Sil16, Corollary 4.2])

$$K_f(v, v+h) \asymp |h|^{-n-2s} \left( \int_{w \perp h} f(v+w) |w|^{\gamma+2s+1} dw \right), \quad (1.18)$$

where the constants hidden behind the symbol  $\asymp$  only depend on  $B$ , and will be neglected in the sequel.

Thus, the Boltzmann equation can be written as a nonlinear kinetic integro-differential equation, where the kernel  $K_f$  depends on the solution  $f$  itself.

**1.4.1. Ellipticity conditions.** A key observation in the program of Imbert–Silvestre is that, if we have a priori bounds on the mass, energy, and entropy densities (1.9)-(1.10)-(1.11), then the kernel  $K_f$  is uniformly elliptic in the following sense:

$$K_f(v, v+h) \geq \frac{\lambda}{|h|^{n+2s}} \mathbb{1}_{\mathcal{C}_v}(h) \quad \text{for some cone } \mathcal{C}_v, \quad (1.19)$$

where  $\lambda > 0$  and the cone  $\mathcal{C}_v$  depend only on  $m_0, M_0, E_0, H_0$ , and  $v$ .

The existence of these cones  $\mathcal{C}_v$  comes from the fact that we have a uniform bound on the entropy density. Unfortunately, if we only assume a lower bound on the pressure (1.12), then all the mass of  $f$  could be concentrated on a set of zero measure, and (1.19) could fail.

Still, we prove that under our macroscopic assumptions (bounds on mass, pressure, and moments) we have the following weaker ellipticity conditions for  $K_f$ .

**Proposition 1.4.** *Let  $s \in (0, 1)$ , and let  $f$  be nonnegative and satisfying (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, the Boltzmann kernel  $K = \tilde{K}_f$  given by (2.2) with  $v_0 \in \mathbb{R}^n$  satisfies:*

(i) (*Upper bound*) For any  $r > 0$  and any  $v \in B_2$ :

$$\int_{\mathbb{R}^n \setminus B_r} K(v, v+h) dh + \int_{\mathbb{R}^n \setminus B_r} K(v+h, v) dh \leq \Lambda r^{-2s}.$$

(ii) (*Nondegeneracy*) For any  $r > 0$  and  $v \in B_2$

$$\inf_{e \in \mathbb{S}^{n-1}} \int_{B_r} K(v, v+h)(h \cdot e)_+^2 dh \geq \lambda r^{2-2s} > 0. \quad (1.20)$$

(iii) (*Coercivity*) For any  $g$  supported in  $B_2$ :

$$\int_{B_2} \int_{\mathbb{R}^n} (g(v') - g(v))^2 K(v, v') dv dv' \geq \lambda [g]_{H^s(\mathbb{R}^n)}^2 - \Lambda \|g\|_{L^2(\mathbb{R}^n)}^2, \quad (1.21)$$

(iv) (*Cancellation condition*) For any  $r \in (0, 1)$  and  $v \in B_2$ :

$$\begin{aligned} \left| \int_{B_r} (K(v, v+h) - K(v+h, v)) dh \right| &\leq \Lambda r^{-2s}, \\ \left| \int_{B_r} (K(v, v+h) - K(v+h, v)) h dh \right| &\leq \Lambda (1 + r^{1-2s}) \quad \text{if } s \geq \frac{1}{2}. \end{aligned}$$

uniformly in  $v_0$ , for some constants  $\lambda$  and  $\Lambda$  depending only on  $n, s, \gamma, m_0, M_0, p_0, M_q$ , and  $q$ .

The nondegeneracy condition (ii) is the minimal hypothesis to ensure that the diffusion given by  $K_f$  is really  $n$ -dimensional; see [FeRo24, Proposition 2.2.1].

The upper bounds (i) and (iv) are rather simple to prove, since they do not rely on any entropy or pressure lower bound, and have already been established in [ImSi22]. In contrast, the verification of the nondegeneracy (ii) and coercivity (iii) are more delicate, and were established in [ImSi20b, ImSi22] under the assumption (1.19) (see also [ChSi20]).

A key contribution of the current paper consists in the verification of the two conditions (ii) and (iii) under pressure and moment bounds. We will establish these properties in Theorem 4.1 and Theorem 5.1 respectively. To verify the condition (iii), we rely on the results of Gressmann–Strain [GrSt11].

It is important to notice that the conditions (ii) and (iii) can *fail* if we only assume the energy bound (1.10) instead of (1.14) for some  $q > 2$ ; see Remark 3.2. This is a first reason why we need to assume higher order moments.

1.4.2. *From ellipticity to regularity.* Proposition 1.4 is a crucial ingredient for our proof, as it tells us that under our macroscopic assumptions, the diffusion coming from  $K_f$  is  $n$ -dimensional, and thus there is hope to establish some regularity results.

In the program of Imbert–Silvestre, some of the main steps of the proof are the following:

- Prove an  $L^\infty$  bound for solutions, subject to the macroscopic bounds on mass, energy, entropy. This was done by Imbert–Mouhot–Silvestre [Sil16, IMS20].
- Establish a  $C^\alpha - L^\infty$  estimate, and deduce that solutions are  $C^\alpha$  [ImSi20b, ImSi22].
- Establish a higher order Schauder estimate, and deduce that solutions are  $C^\infty$  [ImSi21, ImSi22].

The entropy bound (1.11) is crucially needed for the  $L^\infty$  bound. Indeed, the proof of [IMS20] does not work if we only assume (i)-(ii)-(iii)-(iv) above, and thus we need a completely different proof under these weaker assumptions. Notice also that this is the only missing step, because once we have an  $L^\infty$  bound for solutions then the entropy is automatically bounded and we can apply the existing results.

Our proof of the  $L^\infty$  bound (Theorem 1.3) relies on the  $C^\alpha - L^\infty$  estimate from [ImSi20b], which holds exactly under the assumptions (i)-(ii)-(iii)-(iv). Namely, the idea is that, under our macroscopic bounds (1.9), (1.12) (or (1.13)) and (1.14), any solution will satisfy a bound of the type

$$\|f\|_{C^\alpha} \leq C\|f\|_{L^\infty},$$

for some  $C$  that does not depend on  $\|f\|_{L^\infty}$  (in particular we do not need the bound on the entropy here). If this was true globally (which is not the case), by an interpolation argument (in kinetic spaces) we would show

$$\|f\|_{C^\alpha} \lesssim \|f\|_{L^\infty} \leq C_\delta \|f\|_{L^1} + \delta \|f\|_{C^\alpha},$$

and then we could reabsorb the term on the RHS to deduce  $\|f\|_{C^\alpha} \lesssim \|f\|_{L^1}$ , and in particular

$$\|f\|_{L^\infty} \lesssim \|f\|_{L^1},$$

which is the estimate we want. This type of argument works well for harmonic functions (or elliptic equations), but it is much more delicate here because of the kinetic scaling, the degeneracy of the kernel  $K_f$  as  $v \rightarrow \infty$ , and the exponent  $\gamma$  in the equation. Despite all this, we manage to make the argument work provided that we have finite moments of some order  $q > n$ .

**1.4.3. Related results.** Let us close this subsection by emphasizing that our technique to prove the  $C^\alpha - L^1$  estimate (resp. Theorem 1.3) would also work for linear kinetic equations of the form

$$\partial_t f + v \cdot \nabla_x f = \mathcal{L}_K f + h, \tag{1.22}$$

where  $\mathcal{L}_K$  is a nonlocal operator with kernel  $K$  satisfying (i)-(ii)-(iii)-(iv) from Proposition 1.4. Our proof heavily relies on the Hölder estimate which was developed in [ImSi20b] for *linear* nonlocal kinetic equations with bounded measurable coefficients and then applied to the *nonlinear* Boltzmann equation. Recently, a great deal of attention has been paid to the study of regularity properties for linear nonlocal kinetic equations like (1.22). A closely related question to the De Giorgi–Nash–Moser type results from [ImSi20b] is the validity of a Harnack inequality for nonlocal kinetic equations. Interestingly, it turns out that the Harnack inequality fails to hold for solutions to (1.22), already in case  $\mathcal{L}_K = (-\Delta_v)^s$  is the fractional Laplacian (see [KaWe24]). Let us refer to [Sto19, Loh23, Loh24b, APP24] for further results on pointwise regularity estimates for solutions to (1.22). Moreover, let us mention [ChZh18, NiZa21, NiZa22, Nie22] for results on nonlocal kinetic  $L^p$  maximal regularity and [ImSi21, HWZ20, Loh24] where Schauder-type regularity estimates have been established.

**1.5. Convergence to equilibrium.** Exactly as in [ImSi22], an immediate consequence of our Theorem 1.2 is the following improvement of the main result in [DeVi05]:

**Corollary 1.5.** *Let  $s \in (0, 1)$ ,  $\gamma > 0$ ,  $q > n$ , and  $\gamma + 2s \leq q$ . Let  $f$  be a solution to the Boltzmann equation in  $(0, \infty) \times \mathbb{R}^n \times \mathbb{R}^n$  with  $n \geq 2$  (see Definition 1.1). Assume that  $f$  satisfies globally (1.9), (1.13), and (1.14) with  $q > n$ .*

*Then,  $f$  converges to a Maxwellian as  $t \rightarrow \infty$  as described in [DeVi05, Theorem 2].*

In other words, if the macroscopic observables in (1.9), (1.13), and (1.14) remain controlled, then  $f$  will converge to equilibrium as  $t \rightarrow \infty$  faster than any algebraic rate  $O(t^{-k})$ .

**1.6. The grazing collision limit.** The Boltzmann equation converges formally to the Landau equation as  $s \rightarrow 1$  (see, e.g., [Vil02]), provided that the collision kernel has the appropriate normalizing factor

$$b(\cos \theta) \asymp (1 - s) |\sin(\theta/2)|^{-(n-1)-2s}. \quad (1.23)$$

An open problem after the results of [ImSi22] is to establish regularity estimates (like those in Theorem 1.2) that remain uniform as  $s \rightarrow 1$ . As explained in [ImSi22], the main difficulty lies in the  $L^\infty$  estimates and decay for large velocities from [IMS20]. The proof in [IMS20] heavily uses the nonlocality of the equation, and thus their estimates cannot be made uniform as  $s \rightarrow 1$ .

Another advantage of the method we introduce in this paper is that the new  $L^\infty$  estimate we establish here (Theorem 1.3) can be made uniform in the grazing collision limit  $s \rightarrow 1$ .

**Theorem 1.6.** *Let  $s_0 \in (0, 1)$ ,  $s \in [s_0, 1)$ ,  $\gamma \geq 0$ ,  $q > n$ , and  $\gamma + 2s \leq q$ . Let  $f$  be a solution to the Boltzmann equation as in Definition 1.1, with the normalization factor (1.23). Assume that  $f$  satisfies (1.9), (1.13), and (1.14). Then, for any  $\tau > 0$  we have*

$$\|f\|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C_0,$$

with  $C_0$  depending only on  $n, s_0, m_0, M_0, p_0, M_q, q$ , and  $\tau$ .

Moreover, if  $f$  satisfies (1.14) for all  $q > n$  and if  $\gamma \geq 0$ , then, for any  $\tau > 0$  and  $p \geq 0$  we have

$$\||v|^p f\|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C_p$$

with  $C_p$  depending only on  $n, s_0, \gamma, m_0, M_0, p_0, p, \tau$ , and on  $M_{p+n+1}$ .

Note that once we have the  $L^\infty$  estimate from Theorem 1.3, we still use the results in [IMS20] to deduce fast decay for large velocities, and hence the estimate in Theorem 1.2 is still not uniform as  $s \rightarrow 1$ . The use of [IMS20] can be avoided entirely, for example, when assuming that we have finite moments of *all* orders  $q > 1$  (instead of *some*  $q > n$ ). In that case, Theorem 1.6 becomes a robust analog of the main result in [IMS20]. Then, the only missing ingredient to obtain a uniform version of Theorem 1.2 is to prove robust Schauder estimates for nonlocal kinetic equations (see [ImSi21]).

**1.7. The Landau equation.** Quite interestingly, Theorem 1.6 seems to be new even for the Landau equation, which corresponds to the limit  $s = 1$ , and is given by

$$\partial_t f + v \cdot \nabla_x f = \nabla_v \cdot [A \nabla_v f] + b \cdot \nabla_v f + c f, \quad (1.24)$$

where

$$A(t, x, v) = a_{n, \gamma} \int_{\mathbb{R}^n} \left( I - \frac{w}{|w|} \otimes \frac{w}{|w|} \right) |w|^{\gamma+2} f(t, x, v - w) dw, \quad (1.25)$$

$$b(t, x, v) = b_{n, \gamma} \int_{\mathbb{R}^n} w |w|^\gamma f(t, x, v - w) dw, \quad (1.26)$$

$$c(t, x, v) = c_{n, \gamma} \int_{\mathbb{R}^n} |w|^\gamma f(t, x, v - w) dw. \quad (1.27)$$

Here,  $a_{n, \gamma}, b_{n, \gamma}, c_{n, \gamma}$  are constants with  $a_{n, \gamma} > 0$  (which we will neglect in the sequel), and  $\gamma > -n$ .

Theorem 1.6 was not known for the Landau equation, even under the entropy bound assumption (1.11). It was only known in case  $\gamma \leq 0$  (see [HeSn20]), or for space-homogeneous solutions (see [DeVi00]).

In particular, we deduce the following smoothness result for the Landau equation with hard potentials.



**Corollary 1.7.** *Let  $q > n$ . Let  $f$  be a weak solution to the Landau equation with  $\gamma \geq 0$  and  $\gamma + 2 \leq q$ . Assume that  $f$  satisfies (1.9), (1.13), and (1.14). Then, for any  $\tau > 0$  we have*

$$\|f\|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C_0,$$

with  $C_0$  depending only on  $n, m_0, M_0, p_0, M_q, q$ , and  $\tau$ .

Moreover, if  $f$  satisfies (1.14) for all  $q > n$  and if  $\gamma \geq 0$ , then, for any multi-index  $k \in \mathbb{N}^{1+2n}$ , and any  $\tau > 0$  and  $p \geq 0$  it holds

$$\| |v|^p D^k f \|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C_{k,p},$$

where  $C_{k,p}$  depends only on  $n, \gamma, m_0, M_0, p_0, p, \tau, k$ , and on all  $M_q$  for  $q > 0$ .

Note that, in fact, for given  $k, p$ , there exists  $q_0$  so that  $C_{k,p}$  only depends on  $M_{q_0}$ .

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**1.9. Outline.** This article is structured as follows. In Section 2 we introduce (recall) the change of variables and kinetic Hölder spaces. In Section 3, we present several consequences of the pressure and moment bounds. In Section 4 and Section 5 we prove that the Boltzmann kernels are nondegenerate and coercive in the sense of (ii) and (iii) above, respectively. In Section 6 we give the proofs of our main results for the Boltzmann equation. Finally, in Section 7 we explain how to adapt our technique to the Landau equation.

## 2. PRELIMINARIES

In this section we introduce the change of variables from [ImSi22] that preserves the geometry of the Boltzmann equation and is crucial in order to deduce global Hölder estimates, as well as some definitions on kinetic Hölder spaces.

We start with the definition of the (kinetic) cylinder adapted to  $(t, x, v)$  variables and the kernel's singularity (in fact, the singularity of its angular part):

Given a point  $z_0 = (t_0, x_0, v_0) \in \mathbb{R}^{1+2n}$ , we denote by  $Q_r(z_0)$  the kinetic cylinder of radius  $r$  and centered at  $z_0$ ,

$$Q_r(z_0) := \{(t, x, v) \in \mathbb{R}^{1+2n} : t_0 - r^{2s} < t \leq t_0, |x - x_0 - (t - t_0)v_0| < r^{1+2s}, |v - v_0| < r\}. \quad (2.1)$$

We will denote by  $Q_r = Q_r(0, 0, 0)$ .

**2.1. Change of variables.** Note that by verifying nondegeneracy (1.20) and coercivity (1.21) for  $K_f$  we can obtain a Hölder estimate in  $B_1$  by application of the main result in [ImSi20b] (see also Proposition 6.1). In order to obtain a global Hölder regularity estimate in  $B_1(v_0)$  for some  $v_0 \in \mathbb{R}^n$ , we need to verify (1.20) for any  $v \in B_2(v_0)$  and (1.21) for functions  $g$  supported in  $B_2(v_0)$ . It turns out that the verification of these translated versions of (1.20) and (1.21) is not for free since the ellipticity

constants degenerate (or explode) as  $|v| \rightarrow \infty$ . Clearly, in order to obtain global regularity estimates for solutions to the Boltzmann equation, it is crucial to have uniform ellipticity for all velocities.

In [ImSi22], this problem is solved by introducing a suitable change of variables that preserves the geometry of the Boltzmann equation and under which the ellipticity constants remain controlled:

Let  $\gamma + 2s \in [0, 2]$ . Given  $t_0 \in \mathbb{R}$ ,  $x_0 \in \mathbb{R}^n$ , and  $v_0 \in \mathbb{R}^n$ , we consider

$$\tilde{f}(t, x, v) = f(\tilde{t}, \tilde{x}, \tilde{v}),$$

where

$$(\tilde{t}, \tilde{x}, \tilde{v}) = \mathcal{T}_0(t, x, v) = \begin{cases} \left( t_0 + \frac{t}{|v_0|^{\gamma+2s}}, x_0 + \frac{\tau_0 x + t v_0}{|v_0|^{\gamma+2s}}, v_0 + \tau_0 v \right), & \text{if } |v_0| \geq 2, \\ (t_0 + t, x_0 + x + t v_0, v_0 + v), & \text{if } |v_0| < 2, \end{cases}$$

and  $\tau_0 : \mathbb{R}^n \rightarrow \mathbb{R}^n$  is defined as the following transformation

$$\tau_0(av_0 + w) = \begin{cases} \frac{a}{|v_0|} v_0 + w \quad \forall w \perp v_0, \quad a \in \mathbb{R}, & \text{if } |v_0| \geq 2, \\ av_0 + w, & \text{if } |v_0| < 2. \end{cases}$$

Let us also introduce the following sets, where we recall that  $Q_r$  is the kinetic cylinder in  $\mathbb{R}^{1+2n}$  (given by (2.1)) and  $B_r$  is the usual ball in  $\mathbb{R}^n$ :

$$\mathcal{E}_r(z_0) = \mathcal{T}_0(Q_r), \quad E_r(v_0) = v_0 + \tau_0(B_r).$$

Clearly, when  $|v_0| < 2$ , it holds  $Q_r(z_0) = \mathcal{E}_r(z_0)$ . Moreover, note that when  $f$  solves the Boltzmann equation in  $\mathcal{E}_1(z_0)$ , then  $\tilde{f}$  solves

$$\partial_t \tilde{f} + v \cdot \nabla_x \tilde{f} = \mathcal{L}_{\tilde{K}_f} \tilde{f} + \tilde{g} \quad \text{in } Q_1,$$

where

$$\tilde{K}_f(t, x, v, v+h) = \begin{cases} |v_0|^{-1-\gamma-2s} K_f(\tilde{t}, \tilde{x}, \tilde{v}, v_0 + \tau_0(v+h)), & \text{if } |v_0| \geq 2, \\ K_f(\tilde{t}, \tilde{x}, \tilde{v}, v_0 + v+h), & \text{if } |v_0| < 2, \end{cases} \quad (2.2)$$

$$\tilde{g}(t, x, v) = \begin{cases} c_b |v_0|^{-\gamma-2s} f(\tilde{t}, \tilde{x}, \tilde{v})(f * |\cdot|^\gamma)(\tilde{t}, \tilde{x}, \tilde{v}), & \text{if } |v_0| \geq 2, \\ c_b f(\tilde{t}, \tilde{x}, \tilde{v})(f * |\cdot|^\gamma)(\tilde{t}, \tilde{x}, \tilde{v}), & \text{if } |v_0| < 2. \end{cases} \quad (2.3)$$

Note that  $\tilde{K}_f$  is still homogeneous and satisfies the non-divergence form symmetry condition.

In order to obtain a global Hölder estimate for solutions to the Boltzmann equation, we need to verify the nondegeneracy (1.20) and coercivity (1.21) with  $K = \tilde{K}_f$  for any  $v_0 \in \mathbb{R}^n$ .

**2.2. Kinetic Hölder spaces.** On the other hand, we also recall the notion of kinetic distance and the corresponding Hölder spaces:

**Definition 2.1.** Given two points  $z_i = (t_i, x_i, v_i) \in \mathbb{R}^{1+2n}$ ,  $i = 1, 2$ , we define the kinetic distance

$$d_\ell(z_1, z_2) = \min_{w \in \mathbb{R}^n} \left\{ \max \left( |t_1 - t_2|^{\frac{1}{2s}}, |x_1 - x_2 - (t_1 - t_2)w|^{\frac{1}{1+2s}}, |v_1 - w|, |v_2 - w| \right) \right\}.$$

Given a set  $D \subset \mathbb{R}^{1+2n}$  and  $\alpha \in [0, 1)$ , we say that a function  $f : D \rightarrow \mathbb{R}$  is  $\alpha$ -Hölder continuous at  $z_0 \in \mathbb{R}^{1+2n}$  if

$$|f(z) - f(z_0)| \leq C_{z_0} d_\ell(z, z_0)^\alpha \quad \text{for all } z \in D.$$

We define the set  $C_\ell^\alpha(D)$  as the set of all functions  $f : D \rightarrow \mathbb{R}$  that are  $\alpha$ -Hölder continuous at any  $z_0 \in D$  with a constant  $C_{z_0}$  that is independent of  $z_0$ , and we define  $[f]_{C_\ell^\alpha(D)}$  as the supremum over all  $C_{z_0}$ ,  $z_0 \in D$ . Moreover, we set

$$\|f\|_{C_\ell^\alpha(D)} = \|f\|_{L^\infty(D)} + [f]_{C_\ell^\alpha(D)}, \quad [f]_{C_\ell^\alpha(D)} = \|f\|_{L^\infty(D)}.$$

Moreover, given  $p > 0$ , we define

$$\begin{aligned} \|f\|_{C_{\ell,p}^\alpha((\tau,T) \times \mathbb{R}^n \times \mathbb{R}^n)} &= \sup \left\{ (1 + |v|)^p \|f\|_{C_\ell^\alpha(Q_r(z))} : r \in (0, 1], Q_r(z) \subset (\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n \right\}, \\ \|f\|_{L_{\ell,x}^\infty L_{\ell,p}^1((\tau,T) \times \mathbb{R}^n \times \mathbb{R}^n)} &= \sup \left\{ \|f\|_{L_{\ell,x}^\infty L_v^1(Q_r(z); (1+|v|)^p dv)} : r \in (0, 1], Q_r(z) \subset (\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n \right\}. \end{aligned}$$

We say that  $f \in C_{\ell,\text{fast}}^\alpha$  if for any  $p > 0$  and all  $r \in (0, 1]$  there is  $C_p > 0$  such that for all  $Q_r(z) \subset (\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n$  it holds  $\|f\|_{C_{\ell,p}^\alpha(Q_r(z))} \leq C_p$ .

### 3. AUXILIARY LEMMAS

In this section, we present an important consequence of the pressure and moment bounds (for  $q > 2$ ), which will turn out to be useful in the proofs of the nondegeneracy (1.20) and coercivity (1.21) of the Boltzmann kernels.

It is well-known that by (1.9) and (1.10), solutions to the Boltzmann equation have some positive mass which can be located in a ball around the center of mass  $\bar{v}$ . Moreover, due to the pressure lower bound and the moment bound for some  $q > 2$ , the location of this mass can be further specified. In particular, the following result, which is the main result of this section, states that solutions have some positive mass located outside any linear tube of radius  $\delta$ .

**Proposition 3.1.** *Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . There exist  $R > 0$ , and  $\delta, c > 0$ , depending only on  $m_0, M_0, p_0, M_q$ , and  $q$ , such that for any line  $L \subset \mathbb{R}^n$ , denoting  $L_\delta := \{x : \text{dist}(x, L) < \delta\}$  the linear tube of radius  $\delta$  around  $L$ , we have*

$$\int_{B_R \setminus L_\delta} f(w) dw \geq c.$$

**Remark 3.2.** Note that Proposition 3.1 does not hold without the assumption that the  $q$ th moment is finite for some  $q > 2$ , (1.14). Indeed, when only boundedness of the mass (1.9), energy (1.10), and pressure (1.12) are assumed, then one can construct counterexamples to Proposition 3.1. For simplicity, we only give a counterexample in 2D. However, a similar construction also works in higher dimensions. Consider for  $R > 1$  the sets

$$A_1 = (-R^{-3}, R^{-3}) \times (-R, R), \quad A_2 = (-R, R) \times (-R^{-3}, R^{-3}), \quad A = (-R^{-1}, R^{-1}) \times (-R^{-1}, R^{-1}),$$

and define  $f_R(v) = \mathbb{1}_{A_1 \cup A_2}(v) + R^2 \mathbb{1}_A(v)$ . Then by construction, (1.9) holds true with  $m_0 := 4$ ,  $M_0 := 8 \geq 4 + 4R^{-2}$ . Moreover, it holds (1.10) with  $E_0 := C_1$  and (1.12) with  $c_1 E_0 / M_0 \geq c_1 C_1 / 8 =: p_0$  for some  $0 < c_1 < C_1 < \infty$ . In particular,  $m_0, M_0, E_0$ , and  $p_0$ , can be chosen independent of  $R$ . Moreover, note that for any  $q > 2$ , it holds for the  $q$ th moment of  $f_R$  that  $v^{(q)} \geq C_2 R^{q-2}$  for some  $C_2 > 0$ , so (1.14) fails for any  $q > 2$ , by taking  $R \rightarrow \infty$ . Moreover, note that  $f_R$  violates the property in Proposition 3.1. Indeed, given any  $\delta \in (0, 1)$ , we let  $L = \mathbb{R}e_2$ , and observe that for  $R^{-1} < \delta$  it holds

$$\int_{\mathbb{R}^n \setminus L_\delta} f_R(w) dw = |A_2 \setminus L_\delta| = |((-R, R) \times (-R^{-3}, R^{-3})) \setminus (-\delta, \delta) \times \mathbb{R}^n| \leq 4R^{-2} \rightarrow 0.$$

Since the right-hand side vanishes as  $R \rightarrow \infty$ , the statement of Proposition 3.1 fails for  $f_R$ .

The proof of Proposition 3.1 requires some preparatory work. We start with the following lemma.

**Lemma 3.3.** *Assume that  $f$  is nonnegative and satisfies (1.9) and (1.13). Then, for any  $0 < \lambda < p_0$  there exists  $\eta > 0$  depending only on  $M_0$ ,  $p_0$ , and  $\lambda$ , such that*

$$\sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{\{|w \cdot e| \geq \eta\}} f(\bar{v} + w) |w \cdot e|^2 dw \geq \lambda \quad \text{for any } \sigma \in \mathbb{S}^{n-1}.$$

*Proof.* We have for  $\eta > 0$ :

$$\int_{\{|w \cdot e| \leq \eta\}} f(\bar{v} + w) |w \cdot e|^2 dw \leq \eta^2 \int_{\mathbb{R}^n} f(\bar{v} + w) dw \leq \eta^2 M_0,$$

so that

$$\begin{aligned} \int_{\{|w \cdot e| \geq \eta\}} f(\bar{v} + w) |w \cdot e|^2 dw &= \int_{\mathbb{R}^n} f(\bar{v} + w) |w \cdot e|^2 dw - \int_{\{|w \cdot e| \leq \eta\}} f(\bar{v} + w) |w \cdot e|^2 dw \\ &\geq \int_{\mathbb{R}^n} f(\bar{v} + w) |w \cdot e|^2 dw - \eta^2 M_0. \end{aligned}$$

Taking the supremum for  $e \in \mathbb{S}^{n-1}$  with  $e \perp \sigma$ , and thanks to (1.13), we get the desired result by taking  $\eta = \left(\frac{p_0 - \lambda}{M_0}\right)^{1/2}$ .  $\square$

Moreover, if  $(2 + \varepsilon)$ -moments are finite we can ensure that the mass from Lemma 3.3 is contained in a large ball.

**Lemma 3.4.** *Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, there exist  $R, \lambda > 0$  depending only on  $m_0, M_0, p_0, M_q$ , and  $q$ , and  $\delta_0 \in (0, 1)$  depending only on  $M_0$  and  $p_0$  such that*

$$\int_{B_R \cap \{\text{dist}(\cdot, \langle \sigma \rangle) \geq \delta_0\}} f(\bar{v} + w) dw \geq \lambda \quad \text{for any } \sigma \in \mathbb{S}^{n-1},$$

where  $\langle \sigma \rangle := \{t\sigma : t \in \mathbb{R}\}$  denotes the line spanned by  $\sigma \in \mathbb{S}^{n-1}$ .

*Proof.* First, note that by Lemma 3.3, there exists  $\delta_0 > 0$  such that we have

$$\sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{\{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw \geq \frac{p_0}{4}. \quad (3.1)$$

Moreover, by (1.14), we have that for any  $\rho > 0$  and using that  $(a + b)^q \leq C_q(a^q + b^q)$  for all  $a, b > 0$ :

$$\begin{aligned} \int_{(\mathbb{R}^n \setminus B_\rho) \cap \{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw &\leq \rho^{2-q} \int_{\mathbb{R}^n \setminus B_\rho(\bar{v})} f(w) |w - \bar{v}|^q dw \\ &\leq C_q \rho^{2-q} (M_q + (m_0 M_1)^q M_0) =: C \rho^{2-q}, \end{aligned}$$

where we used that  $|\bar{v}| \leq M_1/m_0$ . Let us now choose  $\rho = R$  so large that  $R^{2-q}C \leq p_0/8$ . Then, using (3.1) and taking a supremum, we deduce

$$\begin{aligned} \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{(\mathbb{R}^n \setminus B_R) \cap \{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw &\leq C R^{2-q} \leq \frac{p_0}{8} \\ &\leq \frac{1}{2} \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{\{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw. \end{aligned}$$

This implies (by the subadditivity of the supremum)

$$\sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{(\mathbb{R}^n \setminus B_R) \cap \{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw \leq \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{B_R \cap \{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw,$$

and therefore, again by subadditivity,

$$\frac{p_0}{4} \leq \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{\{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw \leq 2 \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{B_R \cap \{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) |w \cdot e|^2 dw.$$

This implies

$$\frac{p_0}{8R^2} \leq \sup_{\substack{e \perp \sigma \\ e \in \mathbb{S}^{n-1}}} \int_{B_R \cap \{|w \cdot e| \geq \delta_0\}} f(\bar{v} + w) dw,$$

which directly gives the desired result, since  $\{|w \cdot e| \geq \delta_0\} \subset \{\text{dist}(\cdot, \langle \sigma \rangle) \geq \delta_0\}$  for any  $e \perp \sigma$  with  $e \in \mathbb{S}^{n-1}$ . □

The following lemma implies that the mass is not concentrated on one side of the center of mass  $\bar{v}$ :

**Lemma 3.5.** *Assume that  $f$  is nonnegative and satisfies (1.9) and (1.10). Moreover, assume that there exist  $\eta, \lambda_1 > 0$  such that for some  $e_0 \in \mathbb{S}^{n-1}$  it holds:*

$$\int_{\{w \cdot e_0 \geq \eta\}} f(\bar{v} + w) dw \geq \lambda_1. \quad (3.2)$$

Then, there exist  $\varrho, \lambda_2 > 0$ , depending only on  $\lambda_1, \eta, m_0, M_1, E_0$ , such that

$$\int_{B_R \cap \{w \cdot e_0 \leq 0\}} f(\bar{v} + w) dw \geq \lambda_2.$$

*Proof.* First, note that for any  $\varrho > 0$ , we have

$$\int_{\mathbb{R}^n \setminus B_\varrho(\bar{v})} f(w) |(w - \bar{v}) \cdot e_0| dw \leq \varrho^{-1} \int_{\mathbb{R}^n \setminus B_\varrho(\bar{v})} f(w) |w - \bar{v}|^2 dw \leq C\varrho^{-1}(E_0 + (m_0 M_1)^2), \quad (3.3)$$

where we used that  $|\bar{v}| \leq M_1/m_0$ . Moreover, note that due to the definition of  $\bar{v}$ , it holds

$$\int_{\mathbb{R}^n} f(w) (w - \bar{v}) \cdot e dw = 0 \quad \text{for all } e \in \mathbb{S}^{n-1}.$$

Therefore, using also (3.2), and (3.3), we obtain

$$\begin{aligned} \lambda_1 \eta &\leq \eta \int_{\{(w - \bar{v}) \cdot e_0 \geq \eta\}} f(w) dw \\ &\leq \int_{\{(w - \bar{v}) \cdot e_0 \geq 0\}} f(w) (w - \bar{v}) \cdot e_0 dw \\ &= - \int_{\{(w - \bar{v}) \cdot e_0 \leq 0\}} f(w) (w - \bar{v}) \cdot e_0 dw \\ &\leq \int_{\mathbb{R}^n \setminus B_\varrho(\bar{v})} f(w) |(w - \bar{v}) \cdot e_0| dw + \int_{B_\varrho(\bar{v}) \cap \{(w - \bar{v}) \cdot e_0 \leq 0\}} f(w) |(w - \bar{v}) \cdot e_0| dw \\ &\leq C\varrho^{-1}(E_0 + (m_0 M_1)^2) + \varrho \int_{B_\varrho(\bar{v}) \cap \{(w - \bar{v}) \cdot e_0 \leq 0\}} f(w) dw. \end{aligned}$$

Altogether, choosing  $\varrho$  so large that  $C\varrho^{-1}(E_0 + (m_0M_1)^2) \leq \frac{\lambda_1\eta}{2}$ , we deduce

$$\int_{B_\varrho(\bar{v}) \cap \{(w-\bar{v}) \cdot e_0 \leq 0\}} f(w) \, dw \geq \frac{\lambda_1\eta}{2\varrho},$$

as desired.  $\square$

As a consequence of the previous two lemmas, we are now in a position to prove Proposition 3.1.

*Proof of Proposition 3.1.* First, note that since  $|\bar{v}| \leq M_1/m_0$ , it suffices to establish the existence of  $R, \delta, c > 0$  such that

$$\int_{B_R \setminus L_\delta} f(\bar{v} + w) \, dw \geq c. \quad (3.4)$$

To prove (3.4), we fix  $R, \delta_0$ , and  $\lambda$ , to be the parameters from Lemma 3.4. Hence, for any  $\sigma \in \mathbb{S}^{n-1}$ :

$$\int_{B_R \cap \{\text{dist}(\cdot, \langle \sigma \rangle) \geq \delta_0\}} f(\bar{v} + w) \, dw \geq \lambda. \quad (3.5)$$

Let us set  $\delta = \frac{\delta_0}{2}$  and let  $L_\delta$  be a fixed linear tube of radius  $\delta$  along the line  $L := \{a_0 + te_0 : t \in \mathbb{R}\} \subset \mathbb{R}^n$ , for some  $a_0 \in \mathbb{R}^n, e_0 \in \mathbb{S}^{n-1}$ .

We choose  $\sigma = e_0$ . If  $L_\delta \subset \{\text{dist}(\cdot, \langle \sigma \rangle) < \delta_0 = 2\delta\}$  we are done by (3.5), so let us assume that

$$L_\delta \subset \{w \cdot e \geq \delta_0/2 = \delta\} \quad \text{for some } e \perp \sigma, e \in \mathbb{S}^{n-1}.$$

From (3.5) we can further assume

$$\int_{B_R \cap L_\delta} f(\bar{v} + w) \, dw \geq \frac{\lambda}{2},$$

since otherwise (3.4) follows with  $c = \frac{\lambda}{2}$ . In particular, we have

$$\int_{B_R \cap \{w \cdot e \geq \delta\}} f(\bar{v} + w) \, dw \geq \frac{\lambda}{2},$$

so that, by Lemma 3.5 we obtain

$$\int_{B_R \cap \{w \cdot e \leq 0\}} f(\bar{v} + w) \, dw \geq \lambda_2,$$

for some  $\lambda_2 > 0$ . The result now follows because  $B_R \setminus L_\delta \supset B_R \cap \{w \cdot e \leq 0\}$ .  $\square$

#### 4. PROOF OF NONDEGENERACY

In this section, we establish the nondegeneracy of the Boltzmann kernel  $\tilde{K}_f$  under the change of variables for any  $v_0 \in \mathbb{R}^n$ .

**Theorem 4.1.** *Let  $s \in (0, 1)$  and  $\gamma \in (-n, \gamma_0]$  for some  $\gamma_0 > -n$ . Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, the kernel  $\tilde{K}_f$  given by (2.2) and (1.23) with  $v_0 \in \mathbb{R}^n$  satisfies*

$$\inf_{e \in \mathbb{S}^{n-1}} \int_{B_r} \tilde{K}_f(v, v+h)(h \cdot e)_+^2 \, dh \geq \lambda r^{2-2s} \quad \text{for all } r > 0, v \in B_2,$$

uniformly in  $v_0$ , with  $\lambda > 0$  depending only on  $n, m_0, M_0, p_0, M_q, q$ , and  $\gamma_0$ .

We split the proof into two parts, treating separately the cases  $|v_0| \leq 2$  and  $|v_0| > 2$ .

**4.1. Nondegeneracy near the origin.** First, we establish a nondegeneracy estimate which does not take into account the change of variables. This result will be used in the proof of Theorem 4.1 only in case  $|v_0| \leq 2$ . Recall that for the bounds on  $K_f$  we assume (1.23)

**Proposition 4.2.** *Let  $s \in (0, 1)$  and  $\gamma \in (-n, \gamma_0]$  for some  $\gamma_0 > -n$ . Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, for every  $r > 0$  and  $v \in \mathbb{R}^n$ :*

$$\inf_{e \in \mathbb{S}^{n-1}} \int_{B_r} K_f(v, v+h)(h \cdot e)_+^2 dh \geq \lambda(v)r^{2-2s}, \quad (4.1)$$

where  $\lambda(v) \geq c(1 + |v|)^{\gamma+2s-2}$  for some  $c > 0$  depending only on  $n, m_0, M_0, p_0, M_q, q$ , and  $\gamma_0$ .

First, let us rewrite  $K_f(v, v+h) = K_f(v; h)$  and deduce from (1.18)-(1.23) that  $K_f(v; \cdot)$  is comparable to a homogeneous kernel for any  $v$ , so that we can write

$$K_f(v; h) \asymp (1-s)|h|^{-n-2s}a(v; h/|h|) \quad \text{where} \quad a(v; \theta) = \int_{w \perp \theta} f(v+w)|w|^{\gamma+2s+1} dw. \quad (4.2)$$

Therefore, using polar coordinates and also the symmetry  $a(v, \theta) = a(v, -\theta)$ , the nondegeneracy condition (4.1) can be equivalently reformulated as follows: Check that for any  $v \in \mathbb{R}^n$  and any  $e \in \mathbb{S}^{n-1}$  it holds

$$\int_{\mathbb{S}^{n-1}} a(v; \theta)|\theta \cdot e|^2 d\theta = 2 \int_{\mathbb{S}^{n-1}} a(v; \theta)(\theta \cdot e)_+^2 d\theta \geq \lambda(v), \quad (4.3)$$

for some  $\lambda(v)$  comparable to  $(1 + |v|)^{\gamma+2s-2}$ . The resulting constant in (4.1) does not depend on  $s$  due to the following identity:  $(1-s) \int_0^r |h|^{-1-2s+2} dh = r^{2-2s}$ .

**Remark 4.3.** Note that (4.3) vanishes if and only if there exist  $v \in \mathbb{R}^n$  and  $e \in \mathbb{S}^{n-1}$  such that for any  $\theta \not\perp e$  it holds

$$f(v+w) \equiv 0 \quad \forall w \perp \theta,$$

or, in other words, if  $f$  is supported on a line.

The following is a standard calculus identity, it can be found in [Sil16] (see also [ImSi20a, Lemma A.10]).

$$\int_{\mathbb{S}^{n-1}} \int_{w \perp \theta} g(w) dw d\theta = \int_{\mathbb{R}^n} g(z)|z|^{-1} dz.$$

We will make use of the following weighted version of such identity:

$$\int_{\mathbb{S}^{n-1}} \left( \int_{w \perp \theta} g(w, \theta) dw \right) d\theta = \int_{\mathbb{R}^n} \left( \int_{\theta \perp z} g(z, \theta) d\theta \right) |z|^{-1} dz. \quad (4.4)$$

With the help of this identity, we can derive the following equivalent version of the nondegeneracy condition (4.1):

**Lemma 4.4.** *The inequality (4.1) is equivalent to the following condition:*

*For every  $v \in \mathbb{R}^n$  and any  $e \in \mathbb{S}^{n-1}$  it holds*

$$\int_{\mathbb{R}^n} f(v+z)G(z, e)|z|^{\gamma+2s} dz \geq \lambda(v), \quad (4.5)$$

where  $\lambda(v) \asymp (1 + |v|)^{\gamma+2s-2}$ , and

$$G(z, e) = \int_{\mathbb{S}^{n-1} \cap \{\theta \perp z\}} |\theta \cdot e|^2 d\theta = \int_{\mathbb{S}^{n-1} \cap \{\theta \perp z\}} \cos^2(\theta, e) d\theta = \int_{\mathbb{S}^{n-1} \cap \{\theta \perp z\}} \sin^2(z, e) d\theta = c(n) \sin^2(z, e).$$

*Proof.* We apply (4.4) with  $g(w, \theta) = f(v + w)|w|^{\gamma+2s+1}|\theta \cdot e|^2$ . Then, the desired result follows from the following computation:

$$\begin{aligned} \int_{\mathbb{S}^{n-1}} a(v; \theta) |\theta \cdot e|^2 d\theta &= \int_{\mathbb{S}^{n-1}} \left( \int_{w \perp \theta} f(v + w) |w|^{\gamma+2s+1} |\theta \cdot e|^2 dw \right) d\theta \\ &= \int_{\mathbb{R}^n} f(v + z) \left( \int_{\theta \perp z} |\theta \cdot e|^2 d\theta \right) |z|^{\gamma+2s} dz \\ &= \int_{\mathbb{R}^n} f(v + z) G(z, e) |z|^{\gamma+2s} dz, \end{aligned}$$

together with (4.2)-(4.3).  $\square$

We are now in a position to prove Proposition 4.2:

*Proof of Proposition 4.2.* Recall that by (4.5), it suffices to prove that for any  $v \in \mathbb{R}^n$  and any  $e \in \mathbb{S}^{n-1}$  it holds

$$\int_{\mathbb{R}^n} f(w) G(w - v, e) |w - v|^{\gamma+2s} dw \geq \lambda(v), \quad (4.6)$$

where  $\lambda(v) \asymp (1 + |v - \bar{v}|)^{\gamma+2s-2}$  (using also that  $|\bar{v}| \leq M_1/m_0$ ). Let us fix  $v \in \mathbb{R}^n$  and  $e \in \mathbb{S}^{n-1}$ . Let us denote  $v + \mathbb{R}e = \{v + te : t \in \mathbb{R}\}$ . Moreover, let  $R, \delta, c$ , be the constants from Proposition 3.1, and let  $L_\delta$  denote the tube of radius  $\delta$  around  $v + \mathbb{R}e$ .

We claim that there exists  $\tilde{c} > 0$ , depending only on  $R, \delta, c$ , such that

$$\inf_{w \in B_R(\bar{v}) \setminus L_\delta} G(w - v, e) \geq \tilde{c}(1 + |v - \bar{v}|)^{-2}. \quad (4.7)$$

This follows because, by assumption,

$$\inf_{w \in B_R(\bar{v}) \setminus L_\delta} \text{dist}(w, v + \mathbb{R}e) \geq \delta,$$

and hence, for any  $w \in B_R(\bar{v}) \setminus L_\delta$ :

$$G(w - v, e) \asymp \sin^2(w - v, e) = \frac{\text{dist}(w, v + \mathbb{R}e)^2}{|v - w|^2} \geq \frac{\delta^2}{2R^2 + 2|v - \bar{v}|^2}, \quad (4.8)$$

where we have also used that

$$|w - v|^2 \leq 2|w - \bar{v}|^2 + 2|v - \bar{v}|^2 \leq 2R^2 + 2|v - \bar{v}|^2.$$

This yields (4.7). Moreover, note that for some  $c' > 0$ , depending only on  $R, \delta$ , we have

$$\inf_{w \in B_R(\bar{v}) \setminus L_\delta} |w - v| \geq \max(\delta, |v - \bar{v}| - R) \geq c'(1 + |v - \bar{v}|).$$

Consequently, we deduce from Proposition 3.1 and the previous inequalities:

$$\begin{aligned} \int_{\mathbb{R}^n} f(w) G(w - v, e) |w - v|^{\gamma+2s} dw &\geq \int_{B_R(\bar{v}) \setminus L_\delta} f(w) G(w - v, e) |w - v|^{\gamma+2s} dw \\ &\geq \tilde{c}(1 + |v - \bar{v}|)^{\gamma+2s-2} \int_{B_R(\bar{v}) \setminus L_\delta} f(w) dw \\ &\geq \tilde{c}c(1 + |v - \bar{v}|)^{\gamma+2s-2} \end{aligned} \quad (4.9)$$

for some  $c, \tilde{c} > 0$ , depending only on  $m_0, M_0, p_0, M_q, q$ , as desired.  $\square$



**4.2. Nondegeneracy under change of variables.** In this section we prove the nondegeneracy condition for  $|v_0| > 2$ , thereby concluding the proof of Theorem 4.1.

*Proof of Theorem 4.1.* The proof is complete once we can show the following property:

For any  $e \in \mathbb{S}^{n-1}$  and any  $v_0 \in \mathbb{R}^n$ , and  $v \in B_2$ :

$$\int_{B_r(v)} \tilde{K}_f(v, v') |(v' - v) \cdot e|^2 dv' \geq r^{2-2s} \lambda \quad \text{for all } r > 0.$$

We fix any  $r > 0$ . Note that we only need to consider the case  $|v_0| \geq 2$  due to Proposition 4.2, and the definition of  $\mathcal{T}_0$  in case  $|v_0| < 2$ . Indeed, if  $|v_0| < 2$  we have  $\tilde{K}_f(v, v') = K_f(v_0 + v, v_0 + v')$ , and by Proposition 4.2

$$\begin{aligned} \int_{B_r(v)} \tilde{K}_f(v, v') |(v' - v) \cdot e|^2 dv' &= \int_{B_r(v)} K_f(v_0 + v, v_0 + v') |(v' - v) \cdot e|^2 dv' \\ &\geq c(1 + |v_0 + v|)^{\gamma+2s-2} r^{2-2s} \geq \lambda r^{2-2s}, \end{aligned}$$

for  $|v| < 2$  and  $|v_0| < 2$ . Let us therefore assume  $|v_0| \geq 2$ . We divide the proof into three steps.

**Step 1:** Using the definition of  $\tilde{K}_f(v, v')$  and writing  $\tilde{v} = v_0 + \tau_0 v$  and  $\tilde{h} = \tau_0(v' - v)$ , we rewrite

$$\begin{aligned} &\int_{B_r(v)} \tilde{K}_f(v, v') |(v' - v) \cdot e|^2 dv' \\ &= |v_0|^{-1-\gamma-2s} \int_{B_r(v)} K_f(v_0 + \tau_0 v, v_0 + \tau_0 v') |(v' - v) \cdot e|^2 dv' \\ &= |v_0|^{-1-\gamma-2s} \int_{E_r} K_f(\tilde{v}, \tilde{v} + \tilde{h}) |\tau_0^{-1} \tilde{h} \cdot e|^2 |\det \tau_0|^{-1} d\tilde{h} \\ &= |v_0|^{-\gamma-2s} \int_{E_r} K_f(\tilde{v}, \tilde{v} + \tilde{h}) |\tau_0^{-1} \tilde{h} \cdot e|^2 d\tilde{h} \\ &\asymp (1-s) |v_0|^{-\gamma-2s} \int_{E_r} |\tilde{h}|^{-n-2s} \left( \int_{\tilde{w} \perp \tilde{h}} f(\tilde{v} + \tilde{w}) |\tilde{w}|^{\gamma+2s+1} d\tilde{w} \right) |\tau_0^{-1} \tilde{h} \cdot e|^2 d\tilde{h}, \end{aligned}$$

where in the last step we have used (4.2), and where  $E_r = E_r(0)$  is the ellipsoid centered at the origin with side length  $r/|v_0|$  in the  $v_0$ -direction, and side length  $r$  in all directions perpendicular to  $v_0$ .

Next, observe that we have the following generalization of (4.4) (see [ImSi22, eq. (5.9)]):

$$\int_{E_r} \left( \int_{\mathbb{R}^n \cap \{\tilde{w} \perp \tilde{h}\}} g(\tilde{w}, \tilde{h}) d\tilde{w} \right) d\tilde{h} = \int_{\mathbb{R}^n} \left( \int_{E_r \cap \{\tilde{h} \perp \tilde{w}\}} g(\tilde{w}, \tilde{h}) \frac{|\tilde{h}|}{|\tilde{w}|} d\tilde{h} \right) d\tilde{w}. \quad (4.10)$$

An application of (4.10) with  $g(\tilde{w}, \tilde{h}) = |\tilde{h}|^{-n-2s} f(\tilde{v} + \tilde{w}) |\tilde{w}|^{\gamma+2s+1} |\tau_0^{-1} \tilde{h} \cdot e|^2$  yields

$$\begin{aligned} &\frac{1}{1-s} \int_{B_r(v)} \tilde{K}_f(v, v') |(v' - v) \cdot e|^2 dv' \\ &\asymp |v_0|^{-\gamma-2s} \int_{\mathbb{R}^n} \left( \int_{E_r \cap \{\tilde{h} \perp \tilde{w}\}} |\tilde{h}|^{-n-2s} f(\tilde{v} + \tilde{w}) |\tilde{w}|^{\gamma+2s+1} |\tau_0^{-1} \tilde{h} \cdot e|^2 \frac{|\tilde{h}|}{|\tilde{w}|} d\tilde{h} \right) d\tilde{w} \\ &= |v_0|^{-\gamma-2s} \int_{\mathbb{R}^n} f(\tilde{v} + \tilde{w}) \left( \int_{E_r \cap \{\tilde{h} \perp \tilde{w}\}} |\tilde{h}|^{-n-2s+1} |\tau_0^{-1} \tilde{h} \cdot e|^2 d\tilde{h} \right) |\tilde{w}|^{\gamma+2s} d\tilde{w} \\ &= \int_{\mathbb{R}^n} f(\tilde{v} + \tilde{w}) \tilde{G}(\tilde{w}, e) |\tilde{w}|^{\gamma+2s} d\tilde{w} \end{aligned}$$

$$= \int_{\mathbb{R}^n} f(\tilde{w}) \tilde{G}(\tilde{w} - \tilde{v}, e) |\tilde{w} - \tilde{v}|^{\gamma+2s} d\tilde{w},$$

where

$$\tilde{G}(\tilde{w} - \tilde{v}, e) := |v_0|^{-\gamma-2s} \int_{E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}} |\tilde{h}|^{-n-2s+1} |\tau_0^{-1}(\tilde{h}) \cdot e|^2 d\tilde{h}.$$

We notice that for any two vectors  $a, b \in \mathbb{R}^n$  it holds that  $a \cdot b = \tau_0(a) \cdot \tau_0^{-1}(b)$ . Indeed, let us assume without loss of generality (up to a coordinate transform) that  $v_0/|v_0| = e_1$ . Then,

$$a \cdot b = \sum_{i=1}^n a_i b_i = \frac{a_1}{|v_0|} (b_1 |v_0|) + \sum_{i=2}^n a_i b_i = \tau_0(a) \cdot \tau_0^{-1}(b).$$

Therefore, we can compute

$$\begin{aligned} \tilde{G}(\tilde{w} - \tilde{v}, e) &= |v_0|^{-\gamma-2s} \int_{E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}} |\tilde{h}|^{-n-2s+1} |\tilde{h} \cdot \tau_0^{-1}(e)|^2 d\tilde{h} \\ &= |v_0|^{-\gamma-2s} \int_{E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}} |\tilde{h}|^{-n-2s+3} |\tau_0^{-1}(e)|^2 \cos^2(\tilde{h}, \tau_0^{-1}(e)) d\tilde{h} \\ &= \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) |\tau_0^{-1}(e)|^2 |v_0|^{-\gamma-2s} \int_{E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}} |\tilde{h}|^{-(n-1)-2s+2} d\tilde{h}. \end{aligned}$$

**Step 2:** Our next goal is to estimate the terms in  $\tilde{G}(\tilde{w} - \tilde{v}, e)$  separately. We will do so only for  $\tilde{w} \in B_R(\tilde{v})$ , where  $R$  is the constant from Proposition 3.1.

First, we claim that

$$\int_{E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}} |\tilde{h}|^{-(n-1)-2s+2} d\tilde{h} \geq \frac{c}{1-s} r^{2-2s} \quad \text{for } \tilde{w} \in B_R(\tilde{v}). \quad (4.11)$$

In fact, according to [ImSi22, (5.10)], we have that the set  $E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}$  contains an  $(n-1)$ -dimensional ellipsoid whose smallest radius  $\rho$  equals

$$\rho := r (|v_0|^2 \sin^2(v_0, \tilde{w} - \tilde{v}) + \cos^2(v_0, \tilde{w} - \tilde{v}))^{-\frac{1}{2}}.$$

Hence, we obtain

$$\int_{E_r \cap \{\tilde{h} \perp (\tilde{w} - \tilde{v})\}} |\tilde{h}|^{-(n-1)-2s+2} d\tilde{h} \geq \frac{c}{1-s} \rho^{2-2s} \geq \frac{c}{1-s} r^{2-2s} (|v_0|^2 \sin^2(v_0, \tilde{w} - \tilde{v}) + \cos^2(v_0, \tilde{w} - \tilde{v}))^{s-1}.$$

Note that in case  $|v_0| \leq 10(R + |\tilde{v}| + 1) \leq C$ , the claim (4.11) follows trivially. In case  $|v_0| \geq 10(R + |\tilde{v}| + 1)$ , we argue as follows: Since  $\cos^2(v_0, \tilde{w} - \tilde{v}) \leq 1$ , it is enough to estimate

$$|v_0|^2 \sin^2(v_0, v_0 - z) \leq C \quad \text{for } z = \tilde{w} - \tau_0 v \quad \text{and } \tilde{w} \in B_R(\tilde{v}).$$

Observe that  $z \in B_{2R}(\tilde{v})$ , since  $|\tau_0 v| \leq |v| \leq 2 \leq R$ . This property is now satisfied since the condition  $|v_0| \geq 10(R + |\tilde{v}| + 1)$  implies that

$$\sin^2(v_0, v_0 - z) \leq \frac{|z|^2}{|v_0 - z|^2} \leq \frac{|z|^2}{(|v_0| - |z|)^2} \leq \frac{(|\tilde{v}| + 2R)^2}{(|v_0| - (|\tilde{v}| + 2R))^2} \leq C |v_0|^{-2}.$$

This proves (4.11).

Next, we note that

$$|\tau_0^{-1}(e)|^2 = 1 + (|v_0|^2 - 1) \frac{|v_0 \cdot e|^2}{|v_0|^2} = 1 + (|v_0|^2 - 1) \cos^2(v_0, e). \quad (4.12)$$

Therefore, we get for any  $\tilde{w} \in B_R(\bar{v})$ :

$$\tilde{G}(\tilde{w} - \tilde{v}, e) \geq c|v_0|^{-\gamma-2s} r^{2-2s} \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) [1 + (|v_0|^2 - 1) \cos^2(v_0, e)].$$

We now combine all the aforementioned estimates. This yields:

$$\begin{aligned} & \int_{B_r(v)} \tilde{K}_f(v, v') |(v' - v) \cdot e|^2 dv' \\ &= (1-s) \int_{\mathbb{R}^n} f(\tilde{w}) \tilde{G}(\tilde{w} - \tilde{v}, e) |\tilde{w} - \tilde{v}|^{\gamma+2s} d\tilde{w} \\ &\geq cr^{2-2s} |v_0|^{-\gamma-2s} [1 + (|v_0|^2 - 1) \cos^2(v_0, e)] \left[ \int_{B_R(\bar{v})} f(\tilde{w}) \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) |\tilde{w} - \tilde{v}|^{\gamma+2s} d\tilde{w} \right]. \end{aligned}$$

**Step 3:** In order to conclude the proof, let us first consider, as before, the case  $2 \leq |v_0| \leq 10(R + |\bar{v}| + 1)$ . In this case, we apply the proof of Proposition 4.2 (in particular (4.9) with unit vector  $\tau_0^{-1}(e)/|\tau_0^{-1}(e)|$ ) and obtain

$$\begin{aligned} \int_{B_r(v)} \tilde{K}_f(v, v') |(v' - v) \cdot e|^2 dv' &\geq cr^{2-2s} \left[ \int_{B_R(\bar{v})} f(\tilde{w}) \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) |\tilde{w} - \tilde{v}|^{\gamma+2s} d\tilde{w} \right] \\ &\geq c(1 + |\tilde{v} - \bar{v}|)^{\gamma+2s-2} r^{2-2s} \geq cr^{2-2s}. \end{aligned}$$

We have also used here that  $|\tilde{v}| + |\bar{v}| \leq C$  when  $|v_0| \leq 10(R + |\bar{v}| + 1)$ .

Let us suppose now that  $|v_0| \geq 10(R + |\bar{v}| + 1)$ . First, we observe that since  $v \in B_2$ , it holds  $\tilde{v} \in E_2(v_0) \subset B_2(v_0)$ , and therefore for any  $\tilde{w} \in B_R(\bar{v})$ :

$$|\tilde{w} - \tilde{v}|^{\gamma+2s} \asymp |v_0 - \bar{v}|^{\gamma+2s} \geq c|v_0|^{\gamma+2s},$$

where we used that  $|v_0| \geq 10(R + |\bar{v}| + 1)$ . Thus, it remains to verify the following property:

$$\left( \int_{B_R(\bar{v})} f(\tilde{w}) \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) d\tilde{w} \right) [1 + (|v_0|^2 - 1) \cos^2(v_0, e)] \geq c > 0. \quad (4.13)$$

Observe that, by the proof of Proposition 4.2, the result holds true depending on  $c_0$  once  $\cos^2(v_0, e) \geq c_0 > 0$  for any  $c_0 > 0$ . Indeed, thanks to (4.8) and proceeding as in (4.9) using Proposition 3.1 we have

$$\int_{B_R(\bar{v})} f(\tilde{w}) \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) d\tilde{w} \geq \bar{c}(1 + |\tilde{v} - \bar{v}|)^{-2} \geq c(1 + |v_0|)^{-2},$$

and so (4.13) holds whenever  $\cos^2(v_0, e) \geq c_0 > 0$ .

Let us fix

$$c_0 = \frac{1}{10(R + |\bar{v}| + 1)},$$

and prove that (4.13) also holds in the case  $\cos^2(v_0, e) \leq c_0$ .

We start by noticing that, by the triangle inequality, and since  $\tilde{w} \in B_R(\bar{v})$  and  $|\tau_0 v| \leq 2$ ,

$$\begin{aligned} \sin^2((\tilde{w} - \tilde{v}), \tau_0^{-1}(e)) &= \frac{\inf_{\tilde{w} \in B_R(\bar{v})} \text{dist}(\tilde{v} + \tau_0^{-1}(e)\mathbb{R}, \tilde{w})^2}{|\tilde{v} - \tilde{w}|^2} \\ &\geq c \frac{\inf_{\tau \in \mathbb{R}} |v_0 + \tau_0^{-1}(e)\tau|^2 - 2(R + |\bar{v}| + 1)^2}{|v_0|^2}, \end{aligned} \quad (4.14)$$

where we have also used  $|\tilde{v} - \tilde{w}|^2 \leq C(R^2 + |v_0|^2 + |\bar{v}|^2 + 4) \leq C|v_0|^2$ . On the other hand, denoting for the sake of readability  $\eta^2 := \cos^2(v_0, e) = \frac{(v_0 \cdot e)^2}{|v_0|^2} \leq c_0$ , and using (4.12) we have

$$\begin{aligned} \frac{\inf_{\tau \in \mathbb{R}} |v_0 + \tau_0^{-1}(e)\tau|^2}{|v_0|^2} &= \sin^2(v_0, \tau_0^{-1}(e)) = 1 - \frac{(v_0 \cdot \tau_0^{-1}(e))^2}{|v_0|^2 |\tau_0^{-1}(e)|^2} \\ &= 1 - \frac{(\tau_0^{-1}(v_0) \cdot e)^2}{|v_0|^2 |\tau_0^{-1}(e)|^2} = 1 - \frac{(v_0 \cdot e)^2}{|\tau_0^{-1}(e)|^2} \\ &= 1 - \frac{|v_0|^2 \eta^2}{1 - \eta^2 + \eta^2 |v_0|^2} = \frac{1 - \eta^2}{1 - \eta^2 + \eta^2 |v_0|^2}. \end{aligned}$$

Thus, in order to verify (4.13) it is enough to check (since  $B_r(\bar{v})$  always contains mass, Lemma 3.4)

$$\left( \frac{1 - \eta^2}{1 + (|v_0|^2 - 1)\eta^2} - 2 \frac{(R + |\bar{v}| + 1)^2}{|v_0|^2} \right) [1 + (|v_0|^2 - 1)\eta^2] \geq c > 0,$$

or,

$$1 - \eta^2 - 2 \frac{(R + |\bar{v}| + 1)^2}{|v_0|^2} - 2(R + |\bar{v}| + 1)^2 \eta^2 \geq c > 0.$$

Since  $\eta^2 \leq c_0 \leq \frac{1}{10(R + |\bar{v}| + 1)}$  and  $|v_0| \geq 10(R + |\bar{v}| + 1)$ , this inequality holds true, and the proof is complete.  $\square$

## 5. PROOF OF COERCIVITY

In this section, we prove that the nonlocal energy induced by the Boltzmann equation is coercive and that the coercivity constants do not degenerate under the change of variables for any  $v_0 \in \mathbb{R}^n$ .

**Theorem 5.1.** *Let  $s \in (0, 1)$  and  $\gamma \in (-n, \gamma_0]$ . Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, the kernel  $\tilde{K}_f$  given by (2.2) and (1.23) with  $v_0 \in \mathbb{R}^n$  satisfies the following property uniformly in  $v_0$ :*

*For any  $g$  supported in  $B_2$  it holds*

$$\int_{B_2} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \tilde{K}_f(v, v') \, dv \, dv' \geq \lambda [g]_{H^s(\mathbb{R}^n)}^2 - \Lambda \|g\|_{L^2(\mathbb{R}^n)}^2$$

*with constants  $\lambda, \Lambda > 0$ , depending only on  $n, m_0, M_0, p_0, M_q, q$ , and  $\gamma_0$ .*

We recall that the fractional Sobolev seminorm  $[\cdot]_{H^s(\mathbb{R}^n)}$  is given by

$$[g]_{H^s(\mathbb{R}^n)} = \frac{c_{n,s}}{2} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \frac{|g(x) - g(y)|^2}{|x - y|^{n+2s}} \, dx \, dy = \int_{\mathbb{R}^n} |(-\Delta)^{s/2} g|^2 = \int_{\mathbb{R}^n} g(-\Delta)^s g,$$

where for us, it is important to notice that  $c_{n,s} \asymp (1 - s)$  as  $s \uparrow 1$ .

Our proof is a direct consequence of the following result, which was obtained in [GrSt11]:

**Proposition 5.2.** *Let  $s \in (0, 1)$  and  $\gamma \in (-n, \gamma_0]$ . Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, for any  $g$  it holds*

$$\begin{aligned} &\int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 K_f(v, v') \, dv \, dv' \\ &\geq \lambda \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 [(1 + |v|^2)(1 + |v'|^2)]^{\frac{\gamma+2s+1}{4}} \frac{1 - s}{d(v, v')^{n+2s}} \mathbb{1}_{\{d(v, v') \leq 1\}}(v, v') \, dv \, dv', \end{aligned} \tag{5.1}$$

where  $\lambda > 0$  depends only on  $n, m_0, M_0, p_0, M_q, q$ , and  $\gamma_0$ , and

$$d(w, w') := \sqrt{|w - w'|^2 + \frac{1}{4}(|w|^2 - |w'|^2)^2} \quad \forall w, w' \in \mathbb{R}^n.$$

*Proof.* In [GrSt11, (11) in Theorem 1] the authors establish the following estimate<sup>1</sup>

$$N_f(g) \geq \lambda \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 [(1 + |v|^2)(1 + |v'|^2)]^{\frac{\gamma+2s+1}{4}} \frac{1-s}{d(v, v')^{n+2s}} \mathbb{1}_{\{d(v, v') \leq 1\}}(v, v') \, dv \, dv'$$

under the assumption that there exist  $R > \delta > 0$  and  $c_1 > 0$ , such that

$$\int_{B_R \setminus L_\delta} f(v) \, dv \geq c_1, \quad (5.2)$$

where  $L_\delta$  is any linear tube of radius  $\delta$ . Here,  $N_f(g)$  is defined as follows

$$N_f(g) := \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} \int_{\mathbb{S}^{n-1}} (g(v) - g(v'))^2 f(v_*) B(v - v_*, \sigma) \, d\sigma \, dv_* \, dv,$$

where  $v' = \frac{v+v_*}{2} + \frac{|v-v_*|}{2}\sigma$  is as in (1.2).

In [GrSt11, (11) in Theorem 1], the constant  $\lambda > 0$ , depends only on  $n, q, \gamma, \delta, R, c_1, M_0$ . Since we assume that (1.9), (1.13), and (1.14) for some  $q > 2$  are satisfied, (5.2) follows immediately by application of Proposition 3.1 with  $c_1$  depending only on  $m_0, M_0, p_0, M_q, q$ . Finally, we claim that

$$N_f(g) = \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 K_f(v, v') \, dv \, dv'. \quad (5.3)$$

Clearly, once (5.3) is established, the proof is complete. To prove (5.3), we rewrite  $N_f(g)$  using Carleman coordinates, i.e., we set  $w := v'_*$  and reparametrize the integration in  $\sigma, v_*$  from the definition of  $N_f(g)$  by  $w, v'$  (see also [ImSi20a, Section 2.3] and [Sil16, Lemma A.1]). This yields by the definition of  $K_f(v, v')$  from (1.16) and since under this transformation we have  $v_* = v' + w$  and  $w \perp v' - v$ , and therefore  $|v - v_*|^2 = |v - v' + w|^2 = |v - v'|^2 + |w|^2$ :

$$\begin{aligned} N_f(g) &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \left( \frac{2^{n-1}}{|v' - v|} \int_{w \perp v' - v} f(v' + w) B(r, \cos \theta) r^{-n+2} \, dw \right) \, dv' \, dv \\ &= \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 K_f(v', v) \, dv' \, dv. \end{aligned}$$

In the last line, we used that  $\cos \theta$  and  $r$  (see (1.17) for their definitions) remain invariant when the roles of  $v$  and  $v'$  are swapped. The proof of (5.3) is complete.  $\square$

We are now in a position to give the proof of Theorem 5.1.

*Proof of Theorem 5.1.* First, we assume that  $|v_0| \leq 2$ . In that case, we have  $\tilde{K}_f(t, x, v, v') = K_f(t_0 + t, x_0 + x + tv_0, v_0 + v, v_0 + v')$  and deduce from Proposition 5.2

$$\begin{aligned} &\int_{B_2} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \tilde{K}_f(v, v') \, dv \, dv' \\ &\geq \frac{1}{2} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \tilde{K}_f(v, v') \, dv \, dv' \end{aligned}$$

<sup>1</sup>The result in [GrSt11] does not keep track of the dependence on  $s$ . A quick inspection of the proof, however, shows that if the kernel  $B$  (1.3) is multiplied by a constant, this applies as well to the constant from [GrSt11, (11) in Theorem 1] (in the proof, the  $s$ -dependence becomes apparent only in [GrSt11, eq. (42)]).

$$\begin{aligned}
&= \frac{1}{2} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v - v_0) - g(v' - v_0))^2 K_f(v, v') \, dv \, dv' \\
&\geq c \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v - v_0) - g(v' - v_0))^2 [(1 + |v|^2)(1 + |v'|^2)]^{\frac{\gamma+2s+1}{4}} \frac{1-s}{d(v, v')^{n+2s}} \mathbb{1}_{\{d(v, v') \leq 1\}}(v, v') \, dv \, dv' \\
&\geq c(1-s) \int_{B_4} \int_{\mathbb{R}^n} (g(v - v_0) - g(v' - v_0))^2 |v - v'|^{-n-2s} \mathbb{1}_{\{|v-v'| \leq 1/6\}}(v, v') \, dv \, dv' \\
&\geq c[g]_{H^s(\mathbb{R}^n)}^2 - c(1-s) \iint_{\{|v-v'| \geq 1/6\}} (g(v - v_0) - g(v' - v_0))^2 |v - v'|^{-n-2s} \, dv \, dv',
\end{aligned}$$

where we used that

$$d(v, v') \leq |v - v'| + \frac{1}{2}|v - v'|(|v| + |v'|),$$

and that for  $v \in B_4$  and  $v' \in \mathbb{R}^n$  with  $d(v, v') \leq 1$ ,  $|v'| \leq 5$  and

$$d(v, v') \leq |v - v'| + \frac{1}{2}|v - v'|(|v| + |v'|) \leq 6|v - v'|.$$

Moreover, we also have

$$\begin{aligned}
&\iint_{\{|v-v'| \geq 1/6\}} (g(v - v_0) - g(v' - v_0))^2 |v - v'|^{-n-2s} \, dv \, dv' \\
&\leq 4 \int_{\mathbb{R}^n} |g(v - v_0)|^2 \left( \int_{\mathbb{R}^n \setminus B_{1/6}(v)} |v - v'|^{-n-2s} \, dv' \right) \, dv \leq \frac{C}{1-s} \|g\|_{L^2(B_2)}^2.
\end{aligned}$$

Thus, by combination of the previous two estimates, we immediately deduce the desired result.

It remains to consider the case  $|v_0| > 2$ . We introduce the variables  $\tilde{v} = v_0 + \tau_0 v$  and  $\tilde{v}' = v_0 + \tau_0 v'$  and define  $\tilde{g}(\tilde{v}) = g(v)$ . Then, we compute by transforming the integral twice and applying Proposition 5.2 to  $\tilde{g}$ :

$$\begin{aligned}
&\int_{B_2} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \tilde{K}_f(v, v') \, dv \, dv' \\
&\geq \frac{1}{2} |v_0|^{-1-2s-\gamma} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 K_f(\tilde{v}, \tilde{v}') \, d\tilde{v} \, d\tilde{v}' \\
&= \frac{1}{2} |v_0|^{1-2s-\gamma} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (\tilde{g}(\tilde{v}) - \tilde{g}(\tilde{v}'))^2 K_f(\tilde{v}, \tilde{v}') \, d\tilde{v} \, d\tilde{v}' \\
&\geq c |v_0|^{1-2s-\gamma} \int_{\mathbb{R}^n} \int_{\mathbb{R}^n} (\tilde{g}(\tilde{v}) - \tilde{g}(\tilde{v}'))^2 [(1 + |\tilde{v}|^2)(1 + |\tilde{v}'|^2)]^{\frac{\gamma+2s+1}{4}} \frac{1-s}{d(\tilde{v}, \tilde{v}')^{n+2s}} \mathbb{1}_{\{d(\tilde{v}, \tilde{v}') \leq 1\}}(\tilde{v}, \tilde{v}') \, d\tilde{v} \, d\tilde{v}' \\
&\geq c(1-s) |v_0|^{-1-2s-\gamma} \int_{B_2} \int_{B_3} (g(v) - g(v'))^2 [(1 + |v_0 + \tau_0 v|^2)(1 + |v_0 + \tau_0 v'|^2)]^{\frac{\gamma+2s+1}{4}} \times \\
&\quad \times d(v_0 + \tau_0 v, v_0 + \tau_0 v')^{-n-2s} \mathbb{1}_{\{d(v_0 + \tau_0 v, v_0 + \tau_0 v') \leq 1\}}(v, v') \, dv \, dv'.
\end{aligned}$$

Next, we make the observation  $|\tau_0^{-1}((v_0 + \tau_0 v) - (v_0 + \tau_0 v'))| = |v - v'|$ , which implies by [ImSi22, Lemma A.1] (with  $v, v' \in B_3$ ) that for some universal constant  $c_0 \in (0, 1)$ :

$$c_0 |v - v'| \leq d(v_0 + \tau_0 v, v_0 + \tau_0 v') \leq c_0^{-1} |v - v'|.$$

Hence, we deduce

$$\int_{B_2} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \tilde{K}_f(v, v') \, dv \, dv'$$

$$\geq c(1-s)|v_0|^{-1-2s-\gamma} \int_{B_2} \int_{B_{c_0}(v')} \frac{(g(v) - g(v'))^2}{|v - v'|^{n+2s}} [(1 + |v_0 + \tau_0 v|^2)(1 + |v_0 + \tau_0 v'|^2)]^{\frac{\gamma+2s+1}{4}} dv dv'.$$

Moreover, we observe that since  $|v_0| \geq 2$ , for any  $v \in B_{2+c_0}$  and  $v' \in B_{2+c_0}$  it holds that  $|v_0 + \tau_0 v| \geq c|v_0|$  and therefore,

$$[(1 + |v_0 + \tau_0 v|^2)(1 + |v_0 + \tau_0 v'|^2)]^{\frac{\gamma+2s+1}{4}} \geq c|v_0|^{1+2s+\gamma}.$$

Altogether, we have shown that

$$\int_{B_2} \int_{\mathbb{R}^n} (g(v) - g(v'))^2 \tilde{K}_f(v, v') dv dv' \geq c(1-s) \int_{B_2} \int_{\mathbb{R}^n} \frac{(g(v) - g(v'))^2}{|v - v'|^{n+2s}} \mathbb{1}_{\{|v-v'| \leq c_0\}}(v, v') dv dv'.$$

From here, the desired result follows by the same computation as in case  $|v_0| \leq 2$ . The proof is complete.  $\square$

## 6. PROOF OF THE MAIN RESULT

In this section we give the proofs of our main results Theorem 1.3 and Theorem 1.2.

**6.1. Global Hölder regularity estimates.** First, we establish a global weighted Hölder regularity estimate for solutions to the Boltzmann equation (see Lemma 6.5). The proof goes by application of the Hölder regularity estimate for nonlocal kinetic equations from [ImSi20b] to the Boltzmann equation. The results from the previous sections (see Theorem 4.1 and Theorem 5.1) guarantee the applicability of their result in our setting.

The main theorem in [ImSi20b, see Theorem 1.5] on Hölder regularity for solutions to nonlocal kinetic equations (see also [ImSi22, Theorem 4.2]) reads as follows:

**Proposition 6.1** ([ImSi20b]). *Let  $f \in L^\infty((-1, 0] \times B_1 \times \mathbb{R}^n)$  be a weak solution to*

$$\partial_t f + v \cdot \nabla_x f = \mathcal{L}_K f + h \quad \text{in } Q_1$$

*for some  $h \in L^\infty(Q_1)$ . Assume that  $K$  is nonnegative in  $(-1, 0] \times B_1 \times B_2 \times \mathbb{R}^n$  and that the following hold true for some  $0 < \lambda \leq \Lambda$ ,  $s_0 \in (0, 1)$ , and  $s \in [s_0, 1)$ :*

(i) *(Upper bound) For any  $r > 0$  and any  $v \in B_2$ :*

$$\int_{\mathbb{R}^n \setminus B_r} K(v, v+h) dh + \int_{\mathbb{R}^n \setminus B_r} K(v+h, v) dh \leq \Lambda r^{-2s}.$$

(ii) *(Nondegeneracy) For any  $r > 0$  and  $v \in B_2$*

$$\inf_{e \in \mathbb{S}^{n-1}} \int_{B_r} K(v, v+h)(h \cdot e)_+^2 dh \geq \lambda r^{2-2s} > 0 \quad \text{if } s < \frac{1}{2}.$$

(iii) *(Coercivity) For any  $g$  supported in  $B_2$ :*

$$\int_{B_2} \int_{\mathbb{R}^n} (g(v') - g(v))^2 K(v, v') dv dv' \geq \lambda [g]_{H^s(\mathbb{R}^n)}^2 - \Lambda \|g\|_{L^2(\mathbb{R}^n)}^2.$$

(iv) *(Cancellation condition) For any  $r \in (0, 1)$  and  $v \in B_2$ :*

$$\left| \int_{B_r} (K(v, v+h) - K(v+h, v)) dh \right| \leq \Lambda r^{-2s},$$

$$\left| \int_{B_r} (K(v, v+h) - K(v+h, v)) h dh \right| \leq \Lambda (1 + r^{1-2s}) \quad \text{if } s \geq \frac{1}{2}.$$

Then,  $f$  is Hölder continuous in  $Q_r$  and for any  $r \in (0, 1)$  and we have

$$[f]_{C_{\ell}^{\alpha}(Q_{r/2})} \leq Cr^{-\alpha} \left( \|f\|_{L^{\infty}((-r^{2s}, 0] \times B_{r^{1+2s}} \times \mathbb{R}^n)} + r^{2s} \|h\|_{L^{\infty}(Q_r)} \right)$$

for some  $C > 0$  and  $\alpha \in (0, 1)$  depending only on  $n, s_0, \Lambda, \lambda$ .

*Proof.* The result is proved in [ImSi20b, see Theorem 1.5] (see also [ImSi22, Theorem 4.2]) for  $r = 1$ , however with condition (iii) replaced by the assumption that for any  $g$  supported in  $B_2$  it holds

$$\int_{B_2} \int_{\mathbb{R}^n} (g(v') - g(v))g(v')K(v, v') dv dv' \geq \lambda[g]_{H^s(\mathbb{R}^n)}^2 - \Lambda\|g\|_{L^2(\mathbb{R}^n)}^2. \quad (6.1)$$

Note that under the first cancellation condition in assumption (iv), (6.1) is equivalent to (iii), as was mentioned in [ImSi22, Proof of Theorem 5.2]. The result for general  $r$  follows immediately by scaling. The proof in [ImSi20b] is robust as  $s \rightarrow 1$ , as was pointed out in [ImSi22, Section 1.2.2].  $\square$

In the previous sections (see Theorem 4.1 and Theorem 5.1), we have seen that the Boltzmann kernel  $\tilde{K}_f$  is still nondegenerate and coercive in our setting. In particular, it satisfies (ii) and (iii).

The following lemma was proved in [ImSi22, Theorem 5.2] and verifies the assumptions (i) and (iv) for the transformed Boltzmann kernel  $\tilde{K}_f$  under the macroscopic assumptions (1.9) and (1.10). It becomes immediately apparent from the proof, that the result is robust as  $s \rightarrow 1$ , and that it remains true for  $\gamma + 2s \in [0, q]$  under the assumption (1.14) for  $q \geq 2$ .

**Lemma 6.2** ([ImSi22]). *Let  $q \geq 2$ ,  $s_0 \in (0, 1)$ ,  $s \in [s_0, 1)$ . Let  $\gamma \geq 0$  and  $\gamma + 2s \in [0, q]$ . Assume that  $f$  is nonnegative and satisfies (1.9) and (1.14) for  $q \geq 2$ . Then, the kernel  $\tilde{K}_f$  given by (2.2) and (1.23) with  $v_0 \in \mathbb{R}^n$  satisfies (i) and (iv) in Proposition 6.1 uniformly in  $v_0$ , with constants depending only on  $n, s_0, m_0, M_0, M_q, \gamma$ .*

By combination of Lemma 6.2, Theorem 4.1, and Theorem 5.1 we are able to apply the previous Hölder estimate, Proposition 6.1, to the Boltzmann equation in any bounded domain, to obtain the ellipticity conditions in Proposition 1.4 uniform as  $s \uparrow 1$ :

**Lemma 6.3.** *Let  $s_0 \in (0, 1)$ , and let  $s \in [s_0, 1)$ . Let  $f$  be nonnegative and satisfying (1.9), (1.13), and (1.14) for some  $q > 2$ . Then, the Boltzmann kernel  $K = \tilde{K}_f$  given by (2.2) and (1.23) with  $v_0 \in \mathbb{R}^n$  satisfies (i)-(ii)-(iii)-(iv) from Proposition 1.4 uniformly in  $v_0$ , for some constants  $\lambda$  and  $\Lambda$  depending only on  $n, s_0, \gamma, m_0, M_0, p_0, M_q$ , and  $q$ .*

We directly prove Lemma 6.3, which in turn implies Proposition 1.4 as well.

*Proof of Proposition 1.4 and Lemma 6.3.* Follows from Lemma 6.2, Theorem 4.1, and Theorem 5.1.  $\square$

In order to obtain a global Hölder estimate, we make use of the changes of variables from Section 2.

Before we apply Proposition 6.1, we need the following auxiliary lemma. This lemma was already proved in [ImSi22, Lemma 6.3] for the range  $p > n + \gamma + 2s$ . We need the result for small values of  $p$  as well.

**Lemma 6.4.** *Let  $q \geq 2$ ,  $\gamma > -n$ ,  $s \in (0, 1)$ ,  $\gamma + 2s \in [0, q]$ , and  $v_0 \in \mathbb{R}^n$ . Assume that  $f$  is nonnegative and satisfies (1.9) and (1.14) with  $q \geq 2$ . Let  $f \in C_{\ell, p}^0$  for some  $p \in [0, n - 1) \cup (n + \gamma + 2s, +\infty)$ . Then, for any  $v \in B_1(v_0)$ :*

$$\int_M f(v+h)K_f(v, v+h) dh \leq C(1 + |v_0|)^{-p+\gamma} \|f\|_{C_{\ell, p}^0((0, T) \times \mathbb{R}^n \times \mathbb{R}^n)},$$



where we denote  $M = \{h \in \mathbb{R}^n : |v + h| < |v_0|/8, |h| > 1/2 + |v_0|/8\}$ , and  $C > 0$  depends only on  $n, p, M_0, M_q, q$ .

*Proof.* The case  $p > n + \gamma + 2s$  corresponds to [ImSi22, Lemma 6.3] with  $g = f$ . Let us therefore assume  $p \in [0, n - 1)$ . Using (1.18), as well as the transformation (4.10), we obtain

$$\begin{aligned} \int_M f(v + h)K_f(v, v + h) dh &\asymp (1 - s) \int_M f(v + h)|h|^{-n-2s} \left( \int_{\mathbb{R}^n \cap \{w \perp h\}} f(v + w)|w|^{\gamma+2s+1} dw \right) dh \\ &= (1 - s) \int_{\mathbb{R}^n} f(v + w)|w|^{\gamma+2s} \left( \int_{M \cap \{w \perp h\}} f(v + h)|h|^{-n-2s+1} dh \right) dw. \end{aligned}$$

Next, we compute for the inner integral, given any  $w \in \mathbb{R}^n$ :

$$\begin{aligned} &\left( \int_{M \cap \{w \perp h\}} f(v + h)|h|^{-n-2s+1} dh \right) \\ &\leq \|f\|_{C_{\ell,p}^0((0,T) \times \mathbb{R}^n \times \mathbb{R}^n)} \left( \int_{M \cap \{w \perp h\}} |h|^{-(n-1)-2s} (1 + |v + h|)^{-p} dh \right) \\ &\leq C(1 + |v_0|)^{-(n-1)-2s} \left( \int_{\{|v+h| < |v_0|/8\} \cap \{w \perp h\}} (1 + |v + h|)^{-p} dh \right) \|f\|_{C_{\ell,p}^0((0,T) \times \mathbb{R}^n \times \mathbb{R}^n)} \\ &\leq C(1 + |v_0|)^{-p-2s} \|f\|_{C_{\ell,p}^0((0,T) \times \mathbb{R}^n \times \mathbb{R}^n)}. \end{aligned}$$

Moreover, for the outer integral we have

$$\begin{aligned} \int_{\mathbb{R}^n} f(v + w)|w|^{\gamma+2s} dw &\leq C \int_{\mathbb{R}^n} f(w) (|w|^{\gamma+2s} + |v|^{\gamma+2s}) dw \\ &\leq C (M_q + (1 + |v_0|)^{\gamma+2s} M_0), \end{aligned}$$

where we used that  $0 \leq \gamma + 2s \leq q$  and  $|v| \leq 1 + |v_0|$ . Therefore,

$$\begin{aligned} \int_M f(v + h)K_f(v, v + h) dh &\leq C(1 + |v_0|)^{-p-2s} \|f\|_{C_{\ell,p}^0((0,T) \times \mathbb{R}^n \times \mathbb{R}^n)} \left( \int_{\mathbb{R}^n} f(v + w)|w|^{\gamma+2s} dw \right) \\ &\leq C(1 + |v_0|)^{-p+\gamma} \|f\|_{C_{\ell,p}^0((0,T) \times \mathbb{R}^n \times \mathbb{R}^n)}, \end{aligned}$$

as desired.  $\square$

Altogether, we obtain a global Hölder estimate.

**Lemma 6.5.** *Let  $q > 2$ ,  $s_0 \in (0, 1)$ ,  $s \in [s_0, 1)$ . Let  $\gamma \geq 0$  and  $\gamma + 2s \in [0, q]$  and  $T > 0$ . Let  $f$  be a solution to the Boltzmann equation in  $(0, T) \times \mathbb{R}^n \times \mathbb{R}^n$  (see Definition 1.1) satisfying (1.9), (1.13), and (1.14) with  $q > 2$ . Then, there exists  $\alpha_0 > 0$  depending only on  $n, s_0, m_0, M_0, p_0$ , and  $M_q$ , such that for all  $\alpha \in (0, \alpha_0)$  and  $p \in (\alpha, n - 1) \cup (n + 2s + \gamma, +\infty)$  the following holds:*

*If  $f \in C_{\ell,p}^0((0, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  then  $f \in C_{\ell,p-\alpha}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  for any  $\tau \in (0, T)$ , and the following estimate holds for all  $0 \leq \tau_1 < \tau_2 < T$  with  $|\tau_2 - \tau_1| \leq 1$ ,*

$$\|f\|_{C_{\ell,p-\alpha}^\alpha((\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C(\tau_2 - \tau_1)^{-\frac{\alpha}{2s}} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)},$$

where  $C > 0$  depends only on  $n, s_0, p, m_0, M_0, p_0, q, M_q$ .

*Proof.* Note that the claim follows once we show that for any  $z_0, z \in (\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n$  it holds

$$|f(z_0) - f(z)| \leq C(\tau_2 - \tau_1)^{-\frac{s}{2s}} d_\ell(z_0, z)^\alpha (1 + |v_0|)^{-p+\alpha} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)}. \quad (6.2)$$

Let us set  $r := (\tau_2 - \tau_1)^{\frac{1}{2s}}$ . Then, for any  $z_0 \in (\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n$ , we have  $Q_r(z_0) \subset (\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n$ . We fix  $z_0 = (t_0, x_0, v_0) \in (\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n$ , and divide the proof into two steps.

**Step 1.** First, we consider the case  $|v_0| \leq 2$ . We apply the change of variables  $\mathcal{T}_0$  to  $f$ , set  $\tilde{f}(t, x, v) = f(\tilde{t}, \tilde{x}, \tilde{v}) = f(t_0 + t, x_0 + x + tv_0, v_0 + v)$  and observe that  $\tilde{f}$  solves (recall (1.15))

$$\partial_t \tilde{f} + v \cdot \nabla_x \tilde{f} = \mathcal{L}_{\tilde{K}_f} \tilde{f} + \tilde{h} \quad \text{in } Q_r,$$

where

$$\tilde{h}(t, x, v) = c_b(\tilde{f} *_v |\cdot|^\gamma) \tilde{f}(t, x, v).$$

By the mass and moment bound, and since  $\gamma \in (0, q]$  (alternatively, by [ImSi22, Lemma 2.3], which works in the exact same way if  $q > 2$ ) we have for some  $C > 0$ , depending only on  $M_0, M_q$ , and  $q$ ,

$$\|\tilde{h}\|_{L^\infty(Q_r)} \leq C(1-s)\|\tilde{f}\|_{L^\infty(Q_r)}(1+|v_0|)^\gamma = C\|f\|_{L^\infty(Q_r(z_0))}(1+|v_0|)^\gamma \leq C\|f\|_{L^\infty((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)},$$

where we also used that  $|v_0| \leq 2$ . Moreover, by Lemma 6.2, Theorem 4.1, and Theorem 5.1, the kernel  $\tilde{K}_f$  satisfies the assumptions (i), (ii), (iii), and (iv) of Proposition 6.1 (see Lemma 6.3). Thus, an application of Proposition 6.1 to  $\tilde{K}_f$  yields that for any  $z_1, z_2 \in Q_{r/2}$ :

$$|\tilde{f}(z_1) - \tilde{f}(z_2)| \leq Cr^{-\alpha}(\|\tilde{f}\|_{L^\infty((-r^{2s}, 0) \times B_{r^{1+2s}} \times \mathbb{R}^n)} + r^{2s}\|\tilde{h}\|_{L^\infty(Q_r)})d_\ell(z_1, z_2)^\alpha,$$

where  $d_\ell$  denotes the kinetic distance (recall Definition 2.1). Undoing the change of variables, and choosing  $z_1 = 0$  implies that for any  $\tilde{z}_2 \in Q_{r/2}(z_0)$ :

$$\begin{aligned} |f(z_0) - f(\tilde{z}_2)| &= |\tilde{f}(0) - \tilde{f}(z_2)| \leq Cr^{-\alpha}(\|f\|_{L^\infty((-r^{2s}, 0) \times \mathbb{R}^n \times \mathbb{R}^n)} + r^{2s}\|f\|_{L^\infty((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)})d_\ell(0, z_2)^\alpha \\ &\leq Cr^{-\alpha}\|f\|_{L^\infty((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)}d_\ell(z_0, \tilde{z}_2)^\alpha, \end{aligned}$$

where we also used that  $d_\ell(0, z_2) = d_\ell(z_0, \tilde{z}_2)$ . This immediately implies (6.2) for  $z_0 \in (\tau_2, T) \times \mathbb{R}^n \times B_2$ .

**Step 2.** Let us now consider  $z_0 \in (\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n$  with  $|v_0| > 2$ . Let  $\phi \in C^\infty(\mathbb{R}^n)$  be a cut-off function that is supported in  $B_{|v_0|/8}$ , satisfies  $0 \leq \phi \leq 1$ , and  $\phi \equiv 1$  in  $B_{|v_0|/9}$ . In particular, note that  $\phi$  vanishes in  $E_1(v_0)$ . Then, we define  $g(t, x, v) = (1 - \phi(v))f(t, x, v)$  and observe that  $g$  solves

$$\partial_t g + v \cdot \nabla_x g = \mathcal{L}_{K_f} g + h_1 + h_2 \quad \text{in } (0, T) \times \mathbb{R}^n \times E_r(v_0).$$

Here,

$$h_1(t, x, v) = \int_{\mathbb{R}^n} \phi(v+h)f(t, x, v+h)K_f(v, v+h)dh, \quad h_2(t, x, v) = c_b(f *_v |\cdot|^\gamma)f(t, x, v),$$

As before, by the mass and moment bound,

$$\|h_2\|_{L^\infty(\mathcal{E}_r(z_0))} \leq C\|f\|_{L^\infty(\mathcal{E}_r(z_0))}(1+|v_0|)^\gamma \leq C(1+|v_0|)^{-p+\gamma}\|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)}. \quad (6.3)$$

Moreover, for  $h_1$  we observe that by construction of  $\phi$ , and for  $v \in B_1(v_0)$ , the domain of integration is restricted to  $M = \{h \in \mathbb{R}^n : |v+h| < |v_0|/8, |h| > 1/2 + |v_0|/8\}$ . Hence, we obtain by Lemma 6.4, and using that  $\mathcal{E}_r(z_0) \subset \mathcal{E}_1(z_0) \subset Q_1(z_0) \subset (\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n$ :

$$\|h_1\|_{L^\infty(\mathcal{E}_r(z_0))} \leq \sup_{v \in B_1(v_0)} \int_M f(t, x, v+h)K_f(v, v+h)dh \leq C(1+|v_0|)^{-p+\gamma}\|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)}. \quad (6.4)$$

Then, we apply the change of variables  $\mathcal{T}_0$  to  $g$ , set  $\tilde{g}(t, x, v) = g(\tilde{t}, \tilde{x}, \tilde{v})$  and observe that  $\tilde{g}$  solves

$$\partial_t \tilde{g} + v \cdot \nabla_x \tilde{g} = \mathcal{L}_{\tilde{K}_f} \tilde{g} + \tilde{h} \quad \text{in } Q_r,$$

where  $\tilde{h}(t, x, v) = |v_0|^{-\gamma-2s}(h_1(\tilde{t}, \tilde{x}, \tilde{v}) + h_2(\tilde{t}, \tilde{x}, \tilde{v}))$ . By Lemma 6.2, Theorem 4.1, and Theorem 5.1, the kernel  $\tilde{K}_f$  satisfies the assumptions (i), (ii), (iii), and (iv) of Proposition 6.1. Therefore, Proposition 6.1 is applicable to  $\tilde{K}_f$ , and for any  $z_1, z_2 \in Q_{r/2}$ :

$$|\tilde{g}(z_1) - \tilde{g}(z_2)| \leq Cr^{-\alpha} (\|\tilde{g}\|_{L^\infty((-r^{2s}, 0) \times B_{r^{1+2s}} \times \mathbb{R}^n)} + r^{2s} \|\tilde{h}\|_{L^\infty(Q_r)}) d_\ell(z_1, z_2)^\alpha.$$

Now, by construction

$$\|\tilde{g}\|_{L^\infty((-r^{2s}, 0) \times B_{r^{1+2s}} \times \mathbb{R}^n)} \leq \|\tilde{f}\|_{L^\infty((-r^{2s}, 0) \times B_{r^{1+2s}} \times (\mathbb{R}^n \setminus B_{|v_0|/9}))} \leq C(1 + |v_0|)^{-p} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)},$$

and by (6.3) and (6.4), using also that if  $z \in Q_r$ , then  $\tilde{z} \in \mathcal{E}_r(z_0) \subset (\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n$ :

$$\|\tilde{h}\|_{L^\infty(Q_r)} \leq |v_0|^{-\gamma-2s} \|h_1\|_{L^\infty(\mathcal{E}_r(z_0))} + |v_0|^{-\gamma-2s} \|h_2\|_{L^\infty(\mathcal{E}_r(z_0))} \leq C(1 + |v_0|)^{-p-2s} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)}.$$

Altogether, choosing  $z_1 = 0$ , this implies for any  $\tilde{z}_2 \in \mathcal{E}_{r/2}(z_0)$ :

$$\begin{aligned} |f(z_0) - f(\tilde{z}_2)| &= |\tilde{f}(0) - \tilde{f}(z_2)| = |\tilde{g}(0) - \tilde{g}(z_2)| \leq Cr^{-\alpha} (1 + |v_0|)^{-p} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} d_\ell(0, z_2)^\alpha \\ &\leq Cr^{-\alpha} (1 + |v_0|)^{-p+\alpha} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} d_\ell(z_0, \tilde{z}_2)^\alpha, \end{aligned}$$

where we also used that  $\tilde{g} = \tilde{f}$  in  $Q_{1/2}$  and  $d_\ell(z_1, z_2) \leq (1 + |v_0|) d_\ell(\tilde{z}_1, \tilde{z}_2)$ . Moreover, if  $\tilde{z}_2 \notin \mathcal{E}_{r/2}(z_0)$ , we have  $d_\ell(z_0, \tilde{z}_2) \geq cr(1 + |v_0|)^{-1}$  and therefore it holds

$$\begin{aligned} |f(z_0) - f(\tilde{z}_2)| &\leq 2\|f\|_{L^\infty((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \\ &\leq C(1 + |v_0|)^{-p} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq Cr^{-\alpha} (1 + |v_0|)^{-p+\alpha} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} d_\ell(z_0, \tilde{z}_2)^\alpha. \end{aligned}$$

Hence, we have verified (6.2) also for  $z_0 \in (\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n$  with  $|v_0| > 2$  and the proof is complete.  $\square$

**6.2. Replacing decay estimates by interpolation.** The estimate in Lemma 6.5 is not satisfactory, since we do not have an estimate of  $\|f\|_{C_{\ell,p}^0}$  only in terms of universal constants and the macroscopic bounds. Such decay estimate was established in [Sil16, IMS20], but the proof heavily relies on the existence of nondegeneracy cones, which in turn relies on the boundedness of the entropy. It seems like the technique in [Sil16, IMS20] cannot easily be generalized so that it works solely under temperature and moment bounds.

We will circumvent proving decay estimates by establishing an interpolation result, which allows us to estimate the  $C_{\ell,p-\alpha}^\alpha$  norm from Lemma 6.5 by a higher moment of  $f$ .

We have the following interpolation result.

**Lemma 6.6.** *Let  $f \in C_{\ell,p}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  for some  $\alpha \in (0, 1)$ . Then, for any  $r > 0$*

$$\|f\|_{C_{\ell,p}^0((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq r^\alpha \|f\|_{C_{\ell,p}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)} + Cr^{-n} \|f\|_{L_{t,x}^\infty L_{\ell,p}^1((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)},$$

where  $C > 0$  depends only on  $n$ . Moreover, it holds for any  $\varepsilon \in (0, 1)$ :

$$\|f\|_{C_{\ell,p}^0((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq \varepsilon^\alpha \|f\|_{C_{\ell,p-\alpha}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)} + C\varepsilon^{-n} \|f\|_{L_{t,x}^\infty L_{\ell,p+n}^1((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)}.$$

*Proof.* Let  $(t, x) \in (\tau, T) \times \mathbb{R}^n$  be fixed. First, we claim that for any  $r > 0$  and  $v \in \mathbb{R}^n$ :

$$|f(t, x, v)| \leq r^\alpha \|f(t, x, \cdot)\|_{C_{\ell,p}^\alpha(B_r(v))} + Cr^{-n} \|f(t, x, \cdot)\|_{L^1(B_r(v))}.$$

To see this, we compute

$$\begin{aligned} |f(t, x, v)| &\leq |f(t, x, v) - (f(t, x, \cdot))_{r,v}| + (f(t, x, \cdot))_{r,v} \\ &\leq \sup_{w \in B_r(v)} |f(t, x, v) - f(t, x, w)| + cr^{-n} \|f(t, x, \cdot)\|_{L^1(B_r(v))} \\ &\leq r^\alpha \|f(t, x, \cdot)\|_{C^\alpha(B_r(v))} + cr^{-n} \|f(t, x, \cdot)\|_{L^1(B_r(v))}, \end{aligned}$$

where we denoted  $(f(t, x, \cdot))_{r,v} = \int_{B_r(v)} f(t, x, w) dw$ .

Multiplying both sides of the estimate by  $(1 + |v|)^p$

$$(1 + |v|)^p |f(t, x, v)| \leq r^\alpha (1 + |v|)^p \|f(t, x, \cdot)\|_{C^\alpha(B_r(v))} + Cr^{-n} (1 + |v|)^p \|f(t, x, \cdot)\|_{L^1(B_r(v))}$$

so that taking the supremum over  $v$  gives the first inequality. Moreover, fixing  $r = \varepsilon(1 + |v|)^{-1} < 1$ ,

$$(1 + |v|)^p |f(t, x, v)| \leq \varepsilon^\alpha (1 + |v|)^{p-\alpha} \|f(t, x, \cdot)\|_{C^\alpha(B_r(v))} + C\varepsilon^{-n} (1 + |v|)^{p+n} \|f(t, x, \cdot)\|_{L^1(B_r(v))},$$

we obtain, after taking again the supremum over  $v$ , the second inequality. This concludes the proof.  $\square$

Moreover, we will make use of the following standard iteration lemma, which can be found for instance in [Giu03, Lemma 6.1]:

**Lemma 6.7.** *Let  $F : [T_1, T_2] \mapsto [0, \infty)$  be bounded. Assume that there are  $A \geq 0$  and  $\gamma > 0$  such that for every  $T_1 \leq t_1 < t_2 \leq T_2$  it holds*

$$F(t_1) \leq \frac{1}{2}F(t_2) + A(t_2 - t_1)^{-\gamma}.$$

*Then there is a constant  $c > 0$ , depending only on  $\gamma$ , such that for every  $T_1 \leq s_1 < s_2 \leq T_2$*

$$F(s_1) \leq cA(s_2 - s_1)^{-\gamma}.$$

For the sake of completeness, let us give a proof of this lemma.

*Proof.* We set  $\tau_0 = s_1$  and  $\tau_{i+1} = \tau_i + (1 - \sigma)\sigma^i(s_2 - s_1)$  for some  $\sigma \in (0, 1)$  to be chosen later. Then,

$$F(s_1) = F(\tau_0) \leq 2^{-k}F(\tau_k) + A(1 - \sigma)^{-\gamma}(s_2 - s_1)^{-\gamma} \sum_{i=0}^{k-1} 2^{-i}\sigma^{-i\gamma}.$$

Choosing  $\sigma = 2^{-\frac{1}{2\gamma}}$  we obtain

$$F(s_1) \leq \lim_{k \rightarrow \infty} 2^{-k} \left( \sup_j F(\tau_j) \right) + A(1 - \sigma)^{-\gamma}(s_2 - s_1)^{-\gamma} \sum_{i=0}^{\infty} 2^{-\frac{i}{2}} \leq cA(s_2 - s_1)^{-\gamma}.$$

$\square$

By combination of Lemma 6.5 and Lemma 6.6, we obtain a global Hölder estimate only in terms of macroscopic bounds and universal constants.

**Theorem 6.8.** *Let  $q > n$ ,  $s_0 \in (0, 1)$ ,  $s \in [s_0, 1)$ . Let  $\gamma \geq 0$ , and  $\gamma + 2s \in [0, q]$ . Let  $f$  be a solution to the Boltzmann equation in  $(0, T) \times \mathbb{R}^n \times \mathbb{R}^n$  (see Definition 1.1) satisfying (1.9), (1.13), and (1.14). Assume, in addition, that either  $q < 2n - 1$  or  $q > 2n + \gamma + 2s$ .*

*If  $f \in C_{\ell, q-n}^0((0, T) \times \mathbb{R}^n \times \mathbb{R}^n)$ , then  $f \in C_{\ell, p}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  for any  $\tau \in (0, T)$  and the following estimate holds true*

$$\|f\|_{C_{\ell, p}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C, \quad p = q - n - \alpha,$$

for some  $\alpha \in (0, q - n)$  and  $C > 0$  depending only on  $n, s_0, m_0, M_0, p_0, M_q, q$ , and  $C$  depending also on  $\tau$ .

*Proof.* Let us set  $\bar{p} = q - n$  and fix  $\tau \in (0, T)$ . By application of Lemma 6.5 and Lemma 6.6 we have for any  $\max\{0, \tau - 1\} \leq \tau_1 < \tau_2 \leq \tau < T$  and any  $\varepsilon \in (0, 1)$ :

$$\begin{aligned} \|f\|_{C_{\ell, \bar{p}-\alpha}^\alpha((\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n)} &\leq C(\tau_2 - \tau_1)^{-\frac{\alpha}{2s}} \|f\|_{C_{\ell, \bar{p}}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \\ &\leq C(\tau_2 - \tau_1)^{-\frac{\alpha}{2s}} \varepsilon^\alpha \|f\|_{C_{\ell, \bar{p}-\alpha}^\alpha((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} + (\tau_2 - \tau_1)^{-\frac{\alpha}{2s}} C \varepsilon^{-n} M_q, \end{aligned}$$

for any  $\alpha \in (0, \min\{\bar{p}, \alpha_0\})$ , where  $\alpha_0 > 0$  and  $C > 0$  depend only on  $n, s_0, m_0, M_0, M_q, p, p_0$ . Here we also used that  $\|f\|_{L_{t,x}^\infty L_{\ell, \bar{p}+n}^1((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq M_{\bar{p}+n} = M_q$ . Next, let us fix  $\alpha \in (0, \min\{p, \alpha_0\})$  and choose  $\varepsilon = (\tau_2 - \tau_1)^{\frac{1}{2s}} (2C)^{-\frac{1}{\alpha}}$ . Then, we have shown that for any  $\max\{0, \tau - 1\} \leq \tau_1 < \tau_2 \leq \tau < T$ :

$$\|f\|_{C_{\ell, \bar{p}-\alpha}^\alpha((\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq \frac{1}{2} \|f\|_{C_{\ell, \bar{p}-\alpha}^\alpha((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} + C_2 (\tau_2 - \tau_1)^{-\frac{n+\alpha}{2s}} M_q$$

for some  $C_2 > 0$ , depending only on  $C, \alpha$ . Let us now denote

$$F(r) = \|f\|_{C_{\ell, \bar{p}-\alpha}^\alpha((T-r, T) \times \mathbb{R}^n \times \mathbb{R}^n)}.$$

The aforementioned statement reads now as follows: for any  $T - \tau \leq t_1 < t_2 \leq \min\{T, T - (\tau - 1)\}$  it holds

$$F(t_1) \leq \frac{1}{2} F(t_2) + C_2 M_q (t_2 - t_1)^{-\frac{n+\alpha}{2s}}.$$

Note that  $F$  is bounded since  $f \in C_{\ell, \bar{p}-\alpha}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  for any  $\tau \in (0, T)$  due to the assumption that  $f \in C_{\ell, \bar{p}}^0((0, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  and Lemma 6.5. Thus, we can apply Lemma 6.7 to  $F$  and deduce that

$$\begin{aligned} \|f\|_{C_{\ell, \bar{p}-\alpha}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)} &= F(T - \tau) \\ &\leq C_3 (\min\{T, T - (\tau - 1)\} - (T - \tau))^{-\frac{n+\alpha}{2s}} M_q = C_3 \min\{\tau, 1\}^{-\frac{n+\alpha}{2s}} M_q \end{aligned}$$

for some  $C_3 > 0$ , depending only on  $C_2, n, s_0, \alpha$ .  $\square$

**6.3. Smoothness of solutions.** As a consequence of Theorem 6.8, we deduce that the entropy is finite.

*Proof of Theorem 1.3 and Theorem 1.6.* The result follows directly from Theorem 6.8. Observe that, given  $\gamma$  and  $s$  fixed, we can obtain any  $p$  very large by fixing  $q = n + p + 1$  in Theorem 6.8, for example.  $\square$

As a consequence, we deduce Theorem 1.2.

*Proof of Theorem 1.2.* Due to Theorem 1.3, we can follow the regularity program of Imbert–Silvestre and obtain the  $C^\infty$  regularity of solutions to the Boltzmann equation in the same way as in [ImSi22].  $\square$

## 7. REGULARITY FOR THE LANDAU EQUATION

The goal of this section is to explain how to establish Corollary 1.7 using the techniques developed in this article.

First, we recall the kinetic cylinders  $Q_r(z_0)$  and the change of variables  $\tau_0$  and  $\mathcal{T}_0$  from Section 3. For the Landau equation, we define them in the exact same way, setting  $s = 1$ . Note that when  $f$  solves the Landau equation in  $\mathcal{E}_1(z_0)$ , (1.24), then  $\tilde{f}$  solves

$$\partial_t \tilde{f} + v \cdot \nabla_x \tilde{f} = \nabla_v [\tilde{A} \nabla_v \tilde{f}] + \tilde{b} \cdot \nabla_v \tilde{f} + \tilde{c} \tilde{f} \quad \text{in } Q_1, \quad (7.1)$$

where (recall (1.25)-(1.26)-(1.27))

$$\begin{aligned} \tilde{A}(t, x, v)e &= \begin{cases} |v_0|^{-\gamma-2} \tau_0^{-1} (A(\tilde{t}, \tilde{x}, \tilde{v}) \tau_0^{-1} e), & \text{if } |v_0| \geq 2, \\ A(\tilde{t}, \tilde{x}, \tilde{v})e & \text{if } |v_0| < 2, \end{cases} \quad \text{for any } e \in \mathbb{R}^n, \\ \tilde{b}(t, x, v) &= \begin{cases} |v_0|^{-\gamma-2} \tau_0^{-1} b(\tilde{t}, \tilde{x}, \tilde{v}), & \text{if } |v_0| \geq 2, \\ b(\tilde{t}, \tilde{x}, \tilde{v}) & \text{if } |v_0| < 2, \end{cases} \\ \tilde{c}(t, x, v) &= \begin{cases} |v_0|^{-\gamma-2} c(\tilde{t}, \tilde{x}, \tilde{v}), & \text{if } |v_0| \geq 2, \\ c(\tilde{t}, \tilde{x}, \tilde{v}) & \text{if } |v_0| < 2, \end{cases} \end{aligned}$$

In order to prove Corollary 1.7, we first need to establish uniform ellipticity of the transformed matrix  $\tilde{A}$  in  $B_2$  (in analogy to Proposition 1.4). Moreover, we require suitable upper bounds for the lower order terms  $\tilde{b}$  and  $\tilde{c}$ .

For the lower bound in the uniform ellipticity of  $\tilde{a}$ , we use the pressure lower bound on  $f$  and proceed in the same way as in the proof of Theorem 4.1:

**Lemma 7.1.** *Let  $\gamma > -n$ . Assume that  $f$  is nonnegative and satisfies (1.9), (1.13), and (1.14) for some  $q > 2$ . Then,  $\tilde{A}$  with  $v_0 \in \mathbb{R}^n$  satisfies*

$$e \cdot \tilde{A}(v)e \geq \lambda \quad \text{for all } v \in B_2, \quad e \in \mathbb{S}^{n-1}$$

uniformly in  $v_0$ , with  $\lambda > 0$  depending only on  $n, m_0, M_0, p_0, M_q, q$ , and  $\gamma$ .

*Proof.* First, we explain how to estimate  $A$  (from (1.25)) without applying the change of variables. We claim that there exists  $\lambda > 0$  such that

$$e \cdot A(v)e \geq \lambda(1 + |v|)^\gamma \quad \forall v \in \mathbb{R}^n, \quad e \in \mathbb{S}^{n-1}. \quad (7.2)$$

To see this, note that by Proposition 3.1 we have that

$$\int_{B_R(\bar{v}) \setminus L_\delta} f(w) dw \geq c$$

for some  $R > 0$ ,  $\delta, c \geq 0$ , depending only on  $m_0, M_0, p_0, M_q, q$ , where we denote by  $L_\delta$  the tube of radius  $\delta$  around  $v + \mathbb{R}e$ . Then, we have for any  $v \in \mathbb{R}^n$  and  $e \in \mathbb{S}^{n-1}$

$$e \cdot A(v)e = a_{n,\gamma} \int_{\mathbb{R}^n} G(w, e) |w|^{\gamma+2} f(v-w) dw = a_{n,\gamma} \int_{\mathbb{R}^n} G(w-v, e) |w-v|^{\gamma+2} f(w) dw,$$

where

$$G(w-v, e) := 1 - \frac{[(w-v) \cdot e]^2}{|w-v|^2} = 1 - \cos^2(w-v, e) = \sin^2(w-v, e).$$

Hence, by following exactly the same arguments as in the proof of Proposition 4.2, we obtain that (4.8), (4.7), and (4.9) also hold true in our setup, and thus we deduce (4.6) with  $s = 1$ . Hence, the proof of (7.2) is complete.

We are now in a position to prove the desired result. First, note that we are done when  $|v_0| \leq 2$  by (7.2). When  $|v_0| > 2$ , since

$$\tau_0^{-1} \left\{ \left( I - \frac{w}{|w|} \otimes \frac{w}{|w|} \right) \tau_0^{-1}(e) \right\} \cdot e = \left( I - \frac{w}{|w|} \otimes \frac{w}{|w|} \right) \tau_0^{-1}(e) \cdot \tau_0^{-1}(e),$$

we have that it holds

$$e \cdot \tilde{A}(v)e = |v_0|^{-\gamma-2} \int_{\mathbb{R}^n} G(w - \tilde{v}, \tau_0^{-1}(e)) |w - \tilde{v}|^{\gamma+2} f(w) dw.$$

In case  $2 \leq |v_0| \leq 10(R + |\bar{v}| + 1)$ , we can apply the proof of (7.2) with  $v := \tilde{v}$  and  $e := \tau_0^{-1}(e)$  and obtain

$$e \cdot \tilde{A}(v)e \geq \lambda(1 + |\tilde{v} - \bar{v}|)^\gamma \geq c,$$

where we used that  $|\tilde{v}| + |\bar{v}| \leq C$  when  $|v_0| \leq 10(R + |\bar{v}| + 1)$ .

In case  $|v_0| \geq 10(R + |\bar{v}| + 1)$ , we recall also (4.12), and deduce that

$$\begin{aligned} G(w - \tilde{v}, \tau_0^{-1}(e)) &= |\tau_0^{-1}(e)|^2 - \frac{[(w - \tilde{v}) \cdot \tau_0^{-1}(e)]^2}{|w - \tilde{v}|^2} = |\tau_0^{-1}(e)|^2 \sin^2(\tilde{w} - \tilde{v}, \tau_0^{-1}(e)) \\ &= [1 + (|v_0|^2 - 1) \cos^2(v_0, e)] \sin^2(w - \tilde{v}, \tau_0^{-1}(e)). \end{aligned}$$

Hence, in this case we can apply exactly the same arguments as in Step 3 of the proof of Theorem 4.1. In particular, we can use (4.13), which immediately implies the desired result.  $\square$

For the remaining properties, we recall that the aforementioned change of variables has already been used in [CSS18] and [HeSn20] (in case  $\gamma \leq 0$ ). Hence, it suffices to observe that the same computations carry over to hard potentials.

**Lemma 7.2.** *Let  $q \geq 2$ . Let  $\gamma \geq 0$  and  $\gamma + 2 \in [0, q]$ . Assume that  $f$  is nonnegative and satisfies (1.9), and (1.14) with  $q \geq 2$ . Then,  $\tilde{A}, \tilde{b}, \tilde{c}$  with  $v_0 \in \mathbb{R}^n$  satisfy*

$$\sup_{e \in \mathbb{S}^{n-1}} e \cdot \tilde{A}(v)e \leq \Lambda, \quad |\tilde{b}(v)| \leq \Lambda, \quad |\tilde{c}(v)| \leq \Lambda(1 + |v_0|)^{-2}, \quad \text{for all } v \in B_2,$$

with  $\Lambda > 0$  depending only on  $n, M_0, M_q$ , and  $q$ .

*Proof.* Following the proof of [CSS18, Lemma 2.1] it becomes apparent that also for  $\gamma \geq 0$  it holds

$$e \cdot A(v)e \leq C \begin{cases} (1 + |v|)^{\gamma+2}, & e \in \mathbb{S}^{n-1}, \\ (1 + |v|)^\gamma, & v \parallel e \in \mathbb{S}^{n-1}, \end{cases} \quad (7.3)$$

where  $C > 0$  depends on  $n, M_0, M_q, q$ . The modifications to the proof of [CSS18, Lemma 2.1] are obvious in the first case. If  $e \parallel v$ , we compute

$$\begin{aligned} e \cdot A(v)e &= \int_{\mathbb{R}^d} |w|^2 \sin^2(v, w) |v - w|^\gamma f(w) dw \\ &\leq c \int_{\mathbb{R}^d} |w|^{\gamma+2} f(w) dw + c|v|^\gamma \int_{\mathbb{R}^d} |w|^2 f(w) dw \leq c(1 + |v|)^\gamma. \end{aligned}$$

The first identity is proved in [CSS18, Lemma 2.1]. From (7.3), we deduce the desired estimate for  $\tilde{A}$  by following the corresponding arguments in the proof of [CSS18, Lemma 4.1].

To prove the estimates for  $b$  and  $c$ , we observe that

$$|b(v)| \leq C(1 + |v|)^{1+\gamma}, \quad |c(v)| \leq C(1 + |v|)^\gamma,$$

where  $C > 0$  depends on  $n, M_0, M_q, q$ . The proof of the estimates for  $b$  is the same as in [CSS18, Lemma 2.3] in case  $\gamma \in [-1, 0]$  and the proof for  $c$  goes in the same way, replacing  $1 + \gamma$  by  $\gamma$ . From here, the estimates for  $\tilde{b}$  and  $\tilde{c}$  follow from the fact that  $|\tilde{v}| \leq c(1 + |v_0|)$  and  $\|\tau_0^{-1}\| \leq (1 + |v_0|)$ .  $\square$

Having at hand Lemma 7.1 and Lemma 7.2, we are now in a position to prove a global Hölder estimate for solutions to the Landau equation. This results and its proof are in analogy to Lemma 6.5, using the  $C^\alpha$  estimate from [GIMV19].

**Lemma 7.3.** *Let  $q > 2$ . Let  $\gamma \geq 0$  and  $\gamma + 2 \in [0, q]$  and  $T > 0$ . Let  $f$  be a weak solution to the Landau equation in  $(0, T) \times \mathbb{R}^n \times \mathbb{R}^n$  satisfying (1.9), (1.13), and (1.14) with  $q > 2$ . Then, there exists  $\alpha_0 > 0$  depending only on  $n, m_0, M_0, p_0$ , and  $M_q$ , such that for all  $\alpha \in (0, \alpha_0)$  and  $p \in (\alpha, +\infty)$  the following holds:*

*If  $f \in C_{\ell,p}^0((0, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  then  $f \in C_{\ell,p-\alpha}^\alpha((\tau, T) \times \mathbb{R}^n \times \mathbb{R}^n)$  for any  $\tau \in (0, T)$ , and the following estimate holds for all  $0 \leq \tau_1 < \tau_2 < T$  with  $|\tau_2 - \tau_1| \leq 1$ ,*

$$\|f\|_{C_{\ell,p-\alpha}^\alpha((\tau_2, T) \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C(\tau_2 - \tau_1)^{-\frac{\alpha}{2}} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)},$$

where  $C > 0$  depends only on  $n, p, m_0, M_0, p_0, M_q$ , and  $q$ .

*Proof.* The proof goes in the same way as the proof of Lemma 6.5, applying the Hölder regularity estimate from [GIMV19] to  $\tilde{f}$  for any  $z_0$ . This is possible since  $\tilde{f}$  is a solution to (7.1) in  $Q_r$ , where  $r := (\tau_2 - \tau_1)^{\frac{1}{2}}$ , and because of Lemma 7.1 and Lemma 7.2. We obtain for any  $z_1, z_2 \in Q_{r/2}$ :

$$|\tilde{f}(z_1) - \tilde{f}(z_2)| \leq Cr^{-\alpha} (\|\tilde{f}\|_{L^\infty(Q_r)} + r^2 \|\tilde{c}\tilde{f}\|_{L^\infty(Q_r)}) d_\ell(z_1, z_2)^\alpha.$$

Undoing the change of variables, choosing  $z_1 = 0$  implies that for any  $\tilde{z}_2 \in Q_{r/2}(z_0)$ :

$$|f(z_0) - f(\tilde{z}_2)| = |\tilde{f}(0) - \tilde{f}(z_2)| \leq Cr^{-\alpha} (1 + |v_0|)^{-p} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)} d_\ell(z_0, \tilde{z}_2)^\alpha,$$

where we also used that by Lemma 7.2

$$\|\tilde{c}\tilde{f}\|_{L^\infty(Q_r)} \leq C(1 + |v_0|)^{-2} \|f\|_{L^\infty(\mathcal{E}_r(z_0))} \leq C(1 + |v_0|)^{-p-2} \|f\|_{C_{\ell,p}^0((\tau_1, T) \times \mathbb{R}^n \times \mathbb{R}^n)}.$$

This concludes the proof by the same considerations as in Lemma 6.5.  $\square$

We can finally conclude the proof of Corollary 1.7:

*Proof of Corollary 1.7.* Thanks to Lemma 7.3, we can proceed in the exact same way as for the Boltzmann equation (setting  $s = 1$  everywhere) and deduce an analog of Theorem 6.8. In particular, the first part of the claim holds. Moreover, when  $f$  satisfies (1.14) for all  $q > n$ , we have that for any  $\tau > 0$  and  $p > 0$

$$\|(1 + |v|)^p f\|_{L^\infty([\tau, T] \times \mathbb{R}^n \times \mathbb{R}^n)} \leq C_p \tag{7.4}$$

with  $C_p$  depending only on  $n, m_0, M_0, p_0, p, \tau$ , and on all  $M_q$  for  $q > n$ .

To establish a higher order version of this estimate, we can proceed in the exact same way as in [HeSn20]. Indeed, [HeSn20, Proposition 3.2, Lemma 3.3] remain true for  $\gamma > 0$  without any change. These ingredients, together with, Lemma 7.1, and Lemma 7.2 allow to apply the Schauder estimates from [HeSn20] in an iterative way, in analogy to the proof of [HeSn20, Theorem 1.2]. Instead of the Gaussian decay in [HeSn20, (3.6)], it suffices to use (7.4) for suitably large  $p$ .  $\square$



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